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4	Spectral super-resolution spectroscopy using a random laser
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6	Alice Boschetti ^{*1} , Andrea Taschin ^{1,2} , Paolo Bartolini ¹ , Anjani Kumar Tiwari ³ , Lorenzo Pattelli ^{1,4} ,
7	Renato Torre ^{1,5} , Diederik S. Wiersma ^{*1,4,5}
8	
9	¹ European Laboratory for Non-Linear Spectroscopy (LENS) / University of Florence, Via Nello Carrara, 1 -
10	50019 Sesto Fiorentino, Italia
11	
12	² ENEA, Centro Ricerche Frascati, Via E. Fermi, 45 – 00044, Frascati, Italia
13	
14	³ Department of Physics, Indian Institute of Technology Kanpur, 208016 Kanpur, India
15	
16	⁴ Istituto Nazionale di Ricerca Metrologica (INRIM), Strada delle Cacce, 91 – 10135 Torino, Italia
17	
18	⁵ Department of Physics, University of Florence, Via Sansone, 1 – 50019 Sesto Fiorentino, Italia
19	
20	*email: <u>boschetti@lens.unifi.it</u> , <u>wiersma@lens.unifi.it</u>
21	
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23	Abstract
24	Super-resolution microscopy refers to a powerful set of imaging techniques that
25	overcome the diffraction limit. Some of these techniques, of which the importance was
26	emphasized by the 2014 Nobel Prize for chemistry, are based on the clever concept of
27	image reconstruction by spatially sparse sampling. Here, we introduce the concept of
28	super-resolution spectroscopy based on sparse sampling in the frequency domain, and
29	show that this can be naturally achieved using a random laser source. In its chaotic
30	regime, the emission spectrum of a random laser features sharp spikes at uncorrelated
31	frequencies that are sparsely distributed over the emission bandwidth. These narrow
32	lasing modes probe stochastically the spectral response of a sample, allowing to

33	reconstruct it with a resolution exceeding that of the spectrometer. We envision that
34	the proposed technique will inspire a new generation of simple and cheap, high-
35	resolution spectroscopy tools with reduced footprint.

36

37 In general, the concept of super-resolution refers to the possibility to obtain a higher quality digital 38 reconstruction of a detected signal, using sets of low-resolution measurements. In the field of optics, various 39 methods have been proposed for super-resolution imaging, with the aim of obtaining a resolution that is 40 beyond the diffraction limit^{1,2,3,4,5}. Super-resolution microscopy based on single molecule localization has undergone a rapid development in recent years^{6,7,8}. It relies on the principle of repetitive and spatially sparse 41 42 activation of point-like sources inside the sample. Each point source generates a blurred disk-shape whose 43 centroid can be obtained with sub-pixel resolution by a fitting procedure. While one image only contains the 44 information on a few points of the desired object, accumulating a large amount of such points allows to 45 reconstruct the entire image at a resolution that is much higher than that of the imaging system.

Some attempts have been undertaken to improve the resolution of spectral measurements, for instance, by making use of known information regarding the source. Out of these, a few noteworthy approaches include the analysis of spectra that can be assumed to have a compact representation⁹ or the extension of a dataset in the Fourier domain to improve resolution of the target signal¹⁰.

In this work, we wish to introduce a new idea in spectroscopy, namely that of sparse sampling in the frequency domain, using random lasers as light source. The purpose is to achieve super-resolution in the spectroscopic characterization of samples; that is, to obtain a characterization with spectral features that are finer than the nominal resolution of the spectrometer. Below we will explain the idea and discuss various aspects of its implementation. We will start with a numerical simulation to test the concept and then show an experimental realization where the spectrum of a custom-made etalon filter is reconstructed as an example. It is well-known that the resolution of a spectrometer can be defined by the Rayleigh criterion¹¹ i.e., its

57 ability to identify two adjacent spectral lines. This is strictly connected with the spectral "instrumental line 58 profile", $I(\lambda)$, corresponding to the spectral line measured by a detector at the output slit if a "monochromatic

59 field" is focused at the spectrometer entrance slit. The full width half maximum (FWHM) of I(λ) defines the

60 spectrometer resolution. This implies that any spectrum S(λ), analysed by the spectrometer, is the result of the

61 convolution of the real spectrum $S_0(\lambda)$ with the instrumental line profile $I(\lambda)$:

62
$$S(\lambda)=S_0(\lambda) I(\lambda).$$
 [eq.1]

63 The shape of $I(\lambda)$ depends both on the properties of the spectrometer and the detector.

To explore the possibility of using a random laser source for super-resolution spectroscopy, let us consider the example of a low-finesse Fabry Perot (FP) characterized by a free spectral range (FSR) well below the spectral resolution of a measuring apparatus (FWHM= 4.9 FSR). We will first consider a numerical simulation of this system and later in the paper report on its experimental characterization, using, in both cases, the concept of sparse sampling in the spectral domain with a random laser as light source.

69 A numeric example

70 In Figure 1, the outline of the setup is shown as modelled in the numerical simulation. This outline also 71 serves to illustrate the idea more in detail. Two types of light sources have been considered: a random laser 72 (blue in the figure) and a regular lamp as comparison (yellow in the figure). The transmission of the etalon is 73 measured versus frequency, using a low resolution spectrometer (indicated in green, together with its (broad) 74 spectral response function). In the yellow graph (panel b) the optical response is shown when the sample is 75 illuminated with an ideal Gaussian-shaped broadband illumination source. Due to the convolution of the 76 transmission spectrum of the sample with the (broad) instrumental response function of the spectrometer, the 77 Fabry-Perot fringes disappear almost completely. From a mathematical point of view, by knowing $I(\lambda)$ exactly 78 for an ideal delta-like source, it would still be possible to recover the original signal using a deconvolution 79 operation. However, this is unfeasible in practice due to the finite noise level of any real measurement, and it 80 is in fact already challenging even in this simulated case due to numerical instability of deconvolution, which is 81 highly susceptible to finite precision of computed functions^{12,13}.

Here, we will show that it is possible to retrieve the target transmission function by performing a sparse frequency sampling, just as sparse spatial sampling allows to retrieve an image in super-resolution microscopy. More precisely, we will use a random laser in the chaotic regime as the illumination source, taking advantage of the inherent spectral separation of a typical random laser emission spectrum. Random lasers are laser

sources using a disordered gain medium, with no external cavity^{14,15}. The term 'random lasing' refers to the 86 fact that its modes are disordered in nature. The physics behind random lasing is quite rich^{14,16}. For instance, 87 88 the number of modes is typically huge and a large amount of modes can be overlapping both in space and 89 frequency. This leads to strong mode coupling and a broad parameter regime in which the output is chaotic¹⁷. 90 At each random laser shot only a few modes actually reach threshold leading to the typical random laser 91 emission spectrum that consist of a few narrow 'spikes' well-separated from each other. Additionally, in the 92 chaotic regime of operation, the emission spectrum of each random laser pulse is completely uncorrelated 93 from the previous pulse. Overall, these properties make random lasers an ideal class of sources for sparse 94 sampling in the frequency domain.

By recording peak amplitudes and frequencies for the most prominent peaks through a series of chaotic spectra, it is possible to reconstruct the super-resolved transmission function of a sample with its original contrast. Typically, having only a few prominent peaks for each lasing spectrum, it is possible to retrieve their centre frequency with high, sub-pixel precision. Once a large ensemble (in the order of a few thousand depending on the desired level of signal-to-noise ratio) of lasing peaks has been collected, plotting their amplitudes as a function of frequency provides a reconstruction of the super-resolved target spectrum, free of deconvolution artefacts.

102 Numerical simulations have been performed on large sets of computer generated random laser spectra to 103 test the concept and to understand its ideal parameters of operation (including the number of lasing modes, 104 their optimal spacing, and the number of spectra over which one should average). In Figure 1d, a few of the 105 numerically simulated transmission spectra have been plotted. In each transmission curve, one can identify 106 isolated broadened peaks of which the centre can be identified. Each of such peaks then provides one point in 107 the reconstruction of the target spectrum. In the bottom panel of Figure 1, the reconstructed spectrum is 108 shown using a total of 10⁴ single shot random laser spectra with a simulated noise level of 0.1% of the 109 maximum of the fluorescence curve. For comparison, we also show the result for a broadband source like a 110 regular lamp, using the same parameters. While nearly all information is lost using the broadband source, 111 random laser illumination allows to reconstruct the target spectrum with very good precision. Regular 112 deconvolution is very sensitive to small noise fluctuations – which are amplified in the reconstructed spectrum. 113 The statistical reconstruction using a random laser is much more stable and successfully retrieves the original

114 Fabry-Perot contrast and spectral pattern – especially in the central region where the random laser statistics is

115 larger. We have performed a broad range of similar simulations and found that random laser based super-

- 116 resolved spectral reconstruction can be applied nearly arbitrarily, for a wide range of apparatus response
- 117 functions and target transmission spectra.

118 Experimental results

119 In order to test our ideas in practice, we performed an experimental analysis, again using a Fabry-Perot as 120 an example. The etalon was realized with a free spectral range of 0.3 THz and a maximum transmission 121 contrast of 32%. A spectrometer was used with a resolution that did not allow to resolve the interference 122 fringes of the etalon (FWHM= 2.8 FSR). The analysis was performed with a random laser and with a regular 123 lamp for comparison. The outline of the experimental setup is sketched in Figure 2. A microscope objective is 124 used both to focus the pump laser and to collect the random laser signal. The random laser emission is split by 125 a 50:50 beam splitter into two beams: a reference signal – which is directly coupled to one entrance of a 126 multimodal fibre bundle, and a probe signal passing through the sample before being focused on the other 127 fibre entrance. A magnified image of the two fibre ends is reproduced on the entrance slit of a 128 monochromator, and a CCD camera finally records the image reproduced on its output focal plane. (See 129 Methods section for more details.) 130 The random laser was realized by suspending ZnO nanoparticles in a solution of Rhodamine 6G and ethanol 131 (see Methods for technical details). A frequency doubled Nd:YAG laser was used to optically pump the random 132 laser. Lasing was observed above a threshold of 0.5 μ energy per pump pulse. The laser was operated above 133 threshold but still well within its chaotic regime of operation, characterized by Lévy-distributed intensity 134 fluctuations^{18,19}. Single-shot emission spectra are found to be entirely uncorrelated, with narrow peaks 135 appearing at independent frequencies. An example of such an emission spectrum is shown in Figure 2b, while 136 more examples can be found in the supplementary information. The output of this random laser was then 137 used as a source to characterize the FP, whose transmission function is shown in Figure 2c as measured 138 independently with a high-resolution spectrometer with a linewidth 0.13 THz.

Figure 2d reports the transmission spectrum of a single random laser shot as measured by the lowresolution spectrometer. The sample modulates the intensity of the probe signal with respect to the reference,

but, as expected, the visibility of the FP transfer function is completely lost even in the fluorescence background. Nonetheless, information on the transmission function of the sample is still contained in the relative heights of the peaks in the measured spectrum and can be retrieved by analysing a large number of random laser shots. For that purpose, the centre of each peak should be determined accurately, as well as the modulation of the peak height by the sample (obtained by comparing the transmission through the sample with the reference beam).

147 The steps of this statistical analysis are shown in Figure 3. A set of 4000 single-shot random laser spectra is 148 collected, each of them producing a double trace. All traces contain both the transmitted signal (bottom) as 149 well as its respective reference signal (top). For each shot, isolated random laser modes are selected by an 150 algorithm that identifies bright disks within a certain diameter range as defined by the spectral resolution of 151 the spectrometer. For each disk selected in the transmission signal, we compute its intensity by integrating 152 over the disk area and normalizing it by the integral of the respective disk found in the reference signal. The 153 reference signal is also used to determine the central frequency of the unaltered peak in order to avoid 154 apparent frequency shifts that could be possibly induced by steep modulations of the transmission function.

155 Discussion

156 The procedure of sparse sampling in the frequency domain allows to reconstruct the transmission function of 157 the sample. We should note here that by "sparse sampling" we simply refer to a minimum average spectral 158 separation between the lasing peaks. Seeking this condition is an important factor for the efficiency of the 159 reconstruction technique as it can reduce drastically the probability of having two almost-degenerate lasing 160 modes during the same laser shot, which would go unresolved by the spectrometer (See SI). Frequency 161 sparsity is an intrinsic characteristic of random laser emission spectra and it can be exploited to achieve a 162 sampling of a transmission function unaffected from convolution effects. By acquiring a sufficiently large 163 statistics on the transmitted frequency positions and amplitudes, the whole spectrum can be reconstructed, as 164 we show for our exemplary test case in Figure 4a.

One can clearly see that the transmission function of the FP etalon is reconstructed with all its relevant features. For comparison, when the transmission spectrum is measured using a standard lamp as light source, the etalon transmission function cannot be retrieved even when averaging over the same amount of spectra to

reduce measurement noise (Fig. 4b). The effectiveness of the method is further highlighted by analysing the results in the Fourier domain. The periodicity of the transmission function of the FP etalon is clearly retrieved when using the random laser, while it is lost in the lamp measurements (See Figs. 4c and 4d).

171 In conclusion, we have shown that it is possible to perform super-resolution spectroscopy exploiting the 172 intrinsic features of random laser emission for spectral sparse sampling – analogously to sparse sampling in 173 super-resolution *microscopy*. We have introduced the idea, analysed its parameter space of operation using 174 numerical calculations, and performed an experimental demonstration on a test etalon sample. Our results 175 show that it is possible to retrieve spectral features of a transfer function below the resolution limit imposed 176 by the spectrometer. As confirmed by our analysis both in direct and reciprocal space, our method delivers 177 accurate reconstruction despite minimal algorithm optimization and the moderate size of the statistical 178 ensemble of random laser peaks used.

179 We have shown a ~3 spectral enhancement factor, but in principle the method can be adapted to

180 spectrometers whose response function is arbitrary in width and shape, which poses no upper limit to the

181 effective enhancement that can be achieved. In absolute terms, an ultimate resolution limit is represented by

182 the linewidth of the individual random laser modes (which, in our case, was around 0.19 THz). Random lasing

183 modes with much narrower widths can be obtained, e.g., by spatially tailoring the pumping light ²⁰.

184 Additionally, compressive sensing methods should be straightforwardly applicable to the reconstruction

185 technique in order to reduce the number of spectra required to obtain a certain signal-to-noise $|eve|^{21,22}$.

186 Most importantly, our approach relies entirely on the chaotic variation of the illumination spectrum to ensure

187 narrow line widths and a uniform sampling over the emission band, and hence does not require any

188 stabilization of the lasing source – which is otherwise critical in other high-resolution approaches such as

189 frequency comb spectroscopy²³, nor it requires an exact knowledge of the instrumental spectral response as

190 in typical deconvolution algorithms.

Quite interesting, the distinctive features of random laser emission – namely their huge variety of modes, their chaotic behaviour and naturally sparse population – make these illumination sources ideal candidates for this super-resolved stochastic reconstruction approach. We believe that the concept introduced in this paper can possibly lead to a new generation of high-resolution spectral analysis providing a small footprint, low-cost

195 alternative to the use of more expensive broadband-tunable narrow line lasers. Our technique is based on a 196 general principle and can be straightforwardly replicated in different wavelength regions ranging from the UV to the mid-infrared, where random laser sources are already available^{24,25,26,27,28,29}. Taking advantage of more 197 198 recent electrically-pumped random lasing schemes holds promise to further extend the applicability of our 199 approach, making it making it more energy-efficient, compatible with CMOS technology and suitable for large-200 scale production and integration in devices^{30,31}. 201 202 Data availability 203 The data that support the plots within this paper and other findings of this study are available from the 204 corresponding author upon reasonable request. 205 206 207 Bibliography 208 209 1. Betzig, E., Trautman, J. K., Harris, T. D., Weiner, J. S. & Kostelak, R. L. Breaking the diffraction barrier: 210 optical microscopy on a nanometric scale. Science 251, 1468–70 (1991). 211 2. Hell, S. W. & Wichmann, J. Breaking the diffraction resolution limit by stimulated emission: stimulated-212 emission-depletion fluorescence microscopy. Opt. Lett. 19, 780 (1994). 213 3. Gazit, S., Szameit, A., Eldar, Y. C. & Segev, M. Super-resolution and reconstruction of sparse sub-214 wavelength images. Opt. Express 17, 23920 (2009). 215 4. Szameit, A. et al. Sparsity-based single-shot subwavelength coherent diffractive imaging. Nat. Mater. 216 11, 455-459 (2012). 217 5. Huang, F. M. & Zheludev, N. I. Super-Resolution without Evanescent Waves. Nano Lett. 9, 1249–1254 218 (2009). 219 6. Rust, M. J., Bates, M. & Zhuang, X. Sub-diffraction-limit imaging by stochastic optical reconstruction 220 microscopy (STORM). Nat. Methods 3, 793-796 (2006). 221 7. Hess, S. T., Girirajan, T. P. K. & Mason, M. D. Ultra-High Resolution Imaging by Fluorescence 222 Photoactivation Localization Microscopy. Biophys. J. 91, 4258-4272 (2006).

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268

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277

278 Contributions

- A.B, A.T, P.B, L.P, A.K.T, R.T and D.W conceived the experiment, A.B., A.T. and P.B. conducted the experiment
- and analysed the results. A.B, A.T, L.P, A.K.T, R.T and D.W wrote the main manuscript text and A.B. prepared

281	the figures. All authors reviewed the manuscript.
282	
283	Corresponding author
284	Correspondence to Diederik S. Wiersma and Alice Boschetti.
285	
286	Competing Interest
287	The experimental apparatus and the analysis method are currently under patent filing.
288	
289	
290	Figure 1
291	Numerical demonstration of super-resolved spectroscopy.
292	a) A light source (broadband source or random laser) is used to illuminate a test sample (here a Fabry-Perot
293	(FP)), its transmission spectrum being measured using a spectrometer (S) with a large point spread function
294	(inset) and a linear camera (C). The numerical transmission function of the low finesse FP approximates a
295	sinusoid with a free spectral range of 125 GHz.
296	b) Average of 10^4 numerical broadband transmission spectra of the Fabry-Perot test sample. The target
297	transmission function is almost completely hidden by noise fluctuations and convolution with the broad
298	instrumental response.
299	c) Spectral reconstruction obtained by direct deconvolution of the average broadband spectrum using the
300	exact point spread function of the spectrometer (inset of panel a). The target response function is indicated by
301	a grey line (as measured by the camera with a spectral resolution of FWHM=4.9·FSR). Deconvolution fails to
302	retrieve the original response, showing spurious amplitude modulations and high-frequency oscillations.
303	d) Exemplary set of simulated transmission spectra obtained by illuminating the sample with single random
304	laser shots, as measured by the low-resolution setup (blue). The original illumination spectra (dark blue)
305	exhibit narrow lasing lines over the active medium fluorescence curve.
306	e) Spectral reconstruction obtained using the method introduced in this paper, using 10 ⁴ single shot random
307	laser spectra. On average, the original contrast of the Fabry-Perot is correctly restored, as well as its free
308	spectral range.
300	

310	
311	Figure 2
312	Experimental apparatus for super-resolved spectroscopy.
313	a) The random laser source (RL) is optically excited by a pulsed pump laser (LS) focused using a 10x microscope
314	objective. The same objective collimates the backscattered signal while an interference filter blocks back-
315	reflected pump light. A 50:50 beam splitter (BS) sends a reference signal to a first multimode fibre, while the
316	probe passes through the sample (in this case a Fabry-Perot filter (FP)) before being focused to a second fibre.
317	The two fibre cores are bundled together and simultaneously focused at the entrance of the spectrometer (S)
318	so that their output can be collected by the same detector (C).
319	b) Illustrative single-shot emission spectrum from the random laser source characterized independently with
320	high spectral resolution.
321	c) Transmission curve of the investigated Fabry-Perot sample, as measured independently using a high-
322	resolution spectrometer and a broadband lamp.
323	d) Illustrative random laser single-shot measured with the experimental scheme of the panel (a) using a low
324	resolution spectrometer. The transmission spectrum (cyan curve) is compared to its respective reference
325	spectrum (light blue curve). Inset shows the measured instrumental response of the low-resolution
326	spectrometer.
327	
328	
329	Figure 3
330	Low-resolution peak selection.
331	Exemplary low-resolution spectra measured by the CMOS camera under random laser illumination. The top
332	and bottom rows in each frame correspond to the reference and transmitted signal, respectively. An algorithm
333	finds the most intense, non-overlapping circles within a fixed diameter range.
334	Each shot allows to reconstruct a few points of the target function.
335	
336	
337	
338	

339	Figure 4
340	Experimental demonstration of super-resolved spectroscopy.
341	a) Transmission curve obtained by sparse sampling using the random laser. The target transmission function is
342	well reproduced exhibiting both its high- and low-frequency modulations, including its slow background slope
343	(cfr. Fig. 2c).
344	b) Transmission curve as obtained with a common lamp for comparison. As expected, the transmission
345	function of the Fabry-Perot etalon is lost due to convolution with the instrumental response function. c-d)
346	Fourier transform of a-b), showing a prominent peak corresponding to the inverse of the etalon free spectral
347	range, which is only visible in the case of our frequency-sparse sampling approach.
348	
349	
350	Methods
351	Random laser
352	A random laser is typically composed of a gain medium mixed with nanoparticles acting as scattering
353	elements. In a random laser there is no optical cavity in the traditional sense and the feedback mechanism
354	needed for lasing is provided by multiple scattering. Due to the disordered arrangement of the scattering
355	medium, laser emission from a random laser is typically characterized by very complex spectral features.

356 Few technical points need to be considered in order to achieve super-resolved spectral measurements.

357 First, the random laser source should exhibit, on average, a suitable distribution of chaotic lasing modes with

358 few intense peaks sparsely distributed with uniform probability over the emission spectrum. Secondly, the

359 emission spectrum of each lasing shot should be uncorrelated to the other shots (chaotic regime of operation).

360 These requirements can be easily fulfilled by adjusting the pump energy and the excitation volume,

361 representing a common mode of operation of a random laser.

In particular, varying the excitation volume allows to tune the average number of modes that go above
 threshold at each pump event³²⁻³³, with a smaller volume (i.e., tighter pump lasing focusing) corresponding to

364 a sparser population of peaks over the gain bandwidth. The number of peaks should be such that the chance

365 of observing two overlapping peaks can be neglected.

366 The random laser sample is made of a colloidal suspension of ZnO nanoparticles (10^{12} particles per cm³,

- 367 average particle diameter 200 nm) in a 5 mM solution of Rhodamine 6G dissolved in ethanol. The gain medium
- 368 is optically pumped with a frequency-doubled pulsed Nd:YAG laser system (Ekspla, Mod. PL2143A), emitting

369 pulses at 532 nm wavelength with a duration of 20 ps and a repetition rate of 10 Hz. We have determined for

- 370 this system a random lasing threshold of about 0.5µJ per pulse, based on mode competition and gain
- depletion. The chaotic lasing regime, characterized by shot-to-shot uncorrelated, narrow lasing modes, is
- 372 obtained for a pumping condition just above threshold¹⁷.

373 Test sample

The low finesse Fabry-Perot (FP) test sample has been fabricated by sputter-coating a few nm of gold on the uncoated facets of two IR flat mirrors, resulting in a reflectivity of about 30%. The distance between the two mirrors was set so to obtain a free spectral range of 0.3 THz, corresponding to the finest FP modulation, optically characterized with a higher resolution monochromator (Chromex, mod. 250is entrance slit of 20 μm, 600 grooves/mm grating) coupled to a digital camera (Thorlabs, mod. DCC1240C, 1280×1024 pixel, 5.3 μm pixel size). The maximum transmission contrast through the custom-made etalon, (I_{max}-I_{min})/I_{max}, is equal to 32% as measured using the incandescent lamp.

381 Experimental setup

382 The experimental set-up is shown schematically in Figure 2. The pump beam is collimated to a diameter of 383 8 mm to match the entrance pupil of a 10× microscope objective (NA 0.3, effective focal length 18 mm). The 384 objective focuses the pump beam to a 3 µm spot size on the surface of the random laser sample. The same 385 objective collects the random laser emission which is then divided using a beam splitter into a reference beam 386 and a probe beam. The reference is directly focused by a lens (f=50 mm) into one of the two entrances of a 387 multimodal fibre bundle (fibre diameters 50 μ m). The probe beam passes through the test sample and is then 388 focused by a lens (f=50 mm) at the other fibre entrance. The two fibre outputs (separated by 85 μ m) are 389 focused on the entrance slit of the monochromator and collected by the digital camera, synchronized with the 390 pump pulse. The resolution of the spectrometer can be tuned from an instrumental response of FWHM=0.13

- 391 THz to 0.83 THz by changing the input slit aperture from 20 μ m to completely open. In the latter case, the
- 392 actual resolution is determined by the fibre output size (50 μm) and the entrance optics (3× magnification),
- 393 resulting in an effective illuminated aperture of 150 μm at the focal plane of the monochromator. This FWHM
- 394 corresponds to 2.8.FSR, and it is not sufficient to spectrally resolve the transmission function of the Fabry-
- 395 Perot test sample. The latter configuration has been used to demonstrate our super-resolution method and
- 396 reconstruct the transmission function of the test sample using the random laser source.
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