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This is the author's submitted version of the contribution published as:

*Original*

Implementation of the linear method for the optimization of Jastrow-Feenberg and backflow correlations / Motta, M.; Bertaina, G.; Galli, D. E.; Vitali, E.. - In: COMPUTER PHYSICS COMMUNICATIONS. - ISSN 0010-4655. - 190:(2015), pp. 62-71. [[10.1016/j.cpc.2015.01.013](https://doi.org/10.1016/j.cpc.2015.01.013)]

*Availability:*

This version is available at: 11696/60931 since: 2021-03-04T18:59:23Z

*Publisher:*

Elsevier

*Published*

DOI:[10.1016/j.cpc.2015.01.013](https://doi.org/10.1016/j.cpc.2015.01.013)

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# Implementation of the Linear Method for the optimization of Jastrow-Feenberg and Backflow Correlations

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## Abstract

We present a fully detailed and highly performing implementation of the Linear Method [J. Toulouse and C. J. Umrigar (2007), [1]] to optimize Jastrow-Feenberg and Backflow Correlations in many-body wave-functions, which are widely used in condensed matter physics. We show that it is possible to implement such optimization scheme performing analytical derivatives of the wave-function with respect to the variational parameters achieving the best possible complexity  $\mathcal{O}(N^3)$  in the number of particles  $N$ .

*Keywords:* Quantum Monte Carlo; Variational Monte Carlo; Optimization

*PACS:* 02.70.Ss; 05.30.Fk; 05.30.Jp

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## 1. Introduction

Within modern theoretical condensed matter physics, a very important role is played by Wave-Function(WF) based methodologies [2, 3]. In particular, in the realm of Quantum Monte Carlo (QMC) techniques [3] at zero temperature, accurate approximations of the ground state or of some excited states of the investigated system are crucial. For simulations of Bose systems in their ground state, although projector ground state QMC methods have been shown to yield *exact*[4, 5, 6, 7, 8] results regardless of the employed trial wave-function, an accurate choice of latter improves the efficiency of the algorithm and provides a deep insight into the behavior of the system[9, 10]. On the other hand, for excited states of Bose systems and for Fermi systems, the need of accurate WFs is a necessity stemming from the sign or phase problem [11]. Once given the Hamiltonian of a physical system, a functional form for the many-body wave-function is typically guessed combining physical intuition and mathematical arguments based on the imaginary time evolution[12, 13, 14]. In general, some parameters  $\mathbf{p} \in \mathcal{P} \subseteq \mathbb{R}^n$ , usually called variational parameters, remain to be determined. One thus deals with a family of WFs:

$$\mathbf{p} \mapsto |\Psi(\mathbf{p})\rangle, \quad \langle \mathcal{R} | \Psi(\mathbf{p}) \rangle = \Psi(\mathbf{p}, \mathcal{R}) \quad (1)$$

where  $\mathcal{R}$  denotes the many-body configuration (possibly including spins) of the system. An extremely important issue concerns the development and implementation of efficient tools to find optimal parameters. This aim is pursued choosing a suitable *cost function* to be optimized, typically the expectation value of the hamiltonian, the *energy*:

$$\mathcal{E}(\mathbf{p}) = \frac{\langle \Psi(\mathbf{p}) | \hat{H} | \Psi(\mathbf{p}) \rangle}{\langle \Psi(\mathbf{p}) | \Psi(\mathbf{p}) \rangle} \quad (2)$$

or the *energy variance* [15]:

$$S(\mathbf{p}) = \frac{\langle \Psi(\mathbf{p}) | (\hat{H} - \mathcal{E}(\mathbf{p}))^2 | \Psi(\mathbf{p}) \rangle}{\langle \Psi(\mathbf{p}) | \Psi(\mathbf{p}) \rangle} \quad (3)$$

If the number of parameters is large, systematic procedures to find out the minimum have to be devised.

One of the most widely employed scheme to alter the variational parameters is the *correlated sampling* (CS) method[3], in which a set of configurations distributed according to  $|\Psi(\mathbf{p}_0, \mathcal{R})|^2$  is generated,  $\mathbf{p}_0$  being the current parameter configuration. With the purpose of minimizing the *energy*, such configurations are used to estimate  $\mathcal{E}(\mathbf{p})$  relying on the expression:

$$\mathcal{E}(\mathbf{p}) = \frac{\int d\mathcal{R} |\Psi(\mathbf{p}_0, \mathcal{R})|^2 \mathcal{W}(\mathcal{R}) E_L(\mathbf{p}, \mathcal{R})}{\int d\mathcal{R} |\Psi(\mathbf{p}_0, \mathcal{R})|^2 \mathcal{W}(\mathcal{R})} \quad (4)$$

where:

$$\mathcal{W}(\mathcal{R}) = \frac{|\Psi(\mathbf{p}, \mathcal{R})|^2}{|\Psi(\mathbf{p}_0, \mathcal{R})|^2} \quad E_L(\mathbf{p}, \mathcal{R}) = \frac{\hat{H}\Psi(\mathbf{p}, \mathcal{R})}{\Psi(\mathbf{p}, \mathcal{R})} \quad (5)$$

The main advantage of the CS technique is that the sampling of  $|\Psi(\mathbf{p}_0, \mathcal{R})|^2$  for a single parameter configuration  $\mathbf{p}_0$  gives access to the value of the  $\mathcal{E}(\mathbf{p})$ , in principle, for any parameter configuration  $\mathbf{p}$ .  $\mathcal{E}(\mathbf{p})$  is then minimized with respect to  $\mathbf{p}$  computing the energy gradient within the forward difference approximation and updating  $\mathbf{p}$  with the Levenberg-Marquardt method [16, 17].

Although minimization of  $\mathcal{E}(\mathbf{p})$  using the CS method has often been successful, in some cases the procedure can exhibit a numerical instability[18]: it is well known, in particular, that the CS method may give inaccurate results when the nodal surface of a many-fermion trial wave-function is allowed to change during the optimization process. In fact, unless the nodal surfaces of  $\Psi(\mathbf{p}_0, \mathcal{R})$  and  $\Psi(\mathbf{p}, \mathcal{R})$  coincide, massive fluctuations in the weights occur on configurations close to the zeros of  $|\Psi(\mathbf{p}_0, \mathcal{R})|^2$ , determining drastic statistical errors in the CS estimate of  $\mathcal{E}(\mathbf{p})$ .

More recent optimization schemes[19, 20, 21, 22, 23, 24, 25, 1] require explicit calculations of derivatives of the form:

$$\left\langle \frac{\partial \Psi(\mathbf{p})}{\partial p_i} \right\rangle, \quad \hat{H} \left\langle \frac{\partial \Psi(\mathbf{p})}{\partial p_i} \right\rangle \quad (6)$$

with the aim of minimizing (2) and/or (3).

Although (6) are nothing but derivatives, their naïve calculation and algorithmic implementation

leads, especially in the case of non-linear parameters, to very computationally demanding optimization algorithms. It thus becomes necessary to devise non trivial strategies to keep the complexity of the calculations favorable. In the present work we focus on a very wide class of correlated many-body wave-functions, very important for condensed matter physics: the Slater-Jastrow-Three-body-Backflow (SJ3BBF) WF. We show the possibility to compute (6), for a given variational parameter, performing analytical derivatives, using at most  $\mathcal{O}(N^3)$  operations,  $N$  being the number of particles. We provide a practical and fully detailed implementation of the Linear Method (LM), first conceived by Nightingale and Melik-Alaverdian [25] and later generalized by Toulouse and Umrigar [1] and Umrigar et al. [23] to the treatment of non-linear parameters. In this paper we do not address the topic of the scaling of the calculations with respect to the number of variational parameters  $M$ , which is discussed for example in the very interesting paper [26]

## 2. The Linear Method

In order to keep a simple notation, we briefly describe here the LM in the case of real-valued wave-functions. The non trivial generalization to the case of complex-valued WFs is presented in Appendix B. Within the LM, the optimization of the *energy* (2) is pursued by iteratively:

1. expanding the normalized WF:

$$|\tilde{\Psi}(\mathbf{p})\rangle = \frac{|\Psi(\mathbf{p})\rangle}{\langle\Psi(\mathbf{p})|\Psi(\mathbf{p})\rangle^{\frac{1}{2}}} \quad (7)$$

around the current parameter configuration  $\mathbf{p}_0$  to first order in the parameter variation  $\Delta\mathbf{p} = \mathbf{p} - \mathbf{p}_0$ :

$$|\bar{\Psi}(\mathbf{p})\rangle = |\bar{\Psi}_0\rangle + \sum_{j=1}^M \Delta p_j |\bar{\Psi}_j\rangle \quad (8)$$

with  $|\bar{\Psi}_0\rangle = |\bar{\Psi}(\mathbf{p}_0)\rangle$  and:

$$|\bar{\Psi}_j\rangle = \frac{|\Psi_j\rangle}{\langle\Psi_0|\Psi_0\rangle^{\frac{1}{2}}} - \frac{\langle\Psi_j|\Psi_0\rangle}{\langle\Psi_0|\Psi_0\rangle} \frac{|\Psi_0\rangle}{\langle\Psi_0|\Psi_0\rangle^{\frac{1}{2}}} \quad (9)$$

where  $|\Psi_0\rangle = |\Psi(\mathbf{p}_0)\rangle$  and  $|\Psi_j\rangle = |\frac{\partial\Psi}{\partial p_j}(\mathbf{p}_0)\rangle$ .

The normalization constraint:

$$0 = \partial_{p_j} \langle\tilde{\Psi}(\mathbf{p})|\tilde{\Psi}(\mathbf{p})\rangle = 2 \langle\partial_{p_j} \tilde{\Psi}(\mathbf{p})|\tilde{\Psi}(\mathbf{p})\rangle \quad (10)$$

results in the orthogonality between  $|\bar{\Psi}_0\rangle$  and  $|\bar{\Psi}_i\rangle$ .

2. minimizing the expectation value of the Hamiltonian operator  $\hat{H}$  over the WF (8):

$$\mathcal{E}(\mathbf{p}) = \frac{\langle\bar{\Psi}(\mathbf{p})|\hat{H}|\bar{\Psi}(\mathbf{p})\rangle}{\langle\bar{\Psi}(\mathbf{p})|\bar{\Psi}(\mathbf{p})\rangle} \quad (11)$$

with respect to the parameter variation  $\Delta\mathbf{p}$ . Inserting (8) into (11) leads to:

$$\mathcal{E}(\mathbf{p}) = \frac{(1 \ \Delta\mathbf{p}^T) \begin{pmatrix} \mathcal{E}(\mathbf{p}_0) & \mathbf{g}^T \\ \mathbf{g} & \bar{\mathcal{H}} \end{pmatrix} \begin{pmatrix} 1 \\ \Delta\mathbf{p} \end{pmatrix}}{(1 \ \Delta\mathbf{p}^T) \begin{pmatrix} 1 & 0 \\ 0 & \bar{\mathcal{S}} \end{pmatrix} \begin{pmatrix} 1 \\ \Delta\mathbf{p} \end{pmatrix}} \quad (12)$$

where  $\mathcal{E}(\mathbf{p}_0)$  is the current value of the energy,  $g_j = \frac{\langle\bar{\Psi}_0|\hat{H}|\bar{\Psi}_j\rangle}{\langle\bar{\Psi}_0|\bar{\Psi}_0\rangle}$  is related to the gradient of the energy by the following equality:

$$\partial_{p_j} \mathcal{E}(\mathbf{p}_0) = 2g_j \quad (13)$$

which is easily derived computing  $\partial_{p_i} \mathcal{E}(\mathbf{p})$  and recalling (10), and  $\bar{\mathcal{H}}_{ij} = \frac{\langle\bar{\Psi}_i|\hat{H}|\bar{\Psi}_j\rangle}{\langle\bar{\Psi}_0|\bar{\Psi}_0\rangle}$ . Similarly,  $\bar{\mathcal{S}}_{ij} = \frac{\langle\bar{\Psi}_i|\bar{\Psi}_j\rangle}{\langle\bar{\Psi}_0|\bar{\Psi}_0\rangle}$ . In published literature, the matrices appearing at the numerator and denominator of (12) are referred to, respectively, as *energy* and *overlap* matrices [21, 23, 25].

3. choosing the parameter variation  $\Delta\mathbf{p}$  in such a way to minimize (12). The global minimum of (12) is necessarily a stationary point, where  $\partial_{\mathbf{p}} \mathcal{E}(\mathbf{p}) = 0$ ; the stationarity condition translates into the following generalized eigenvalue equation[27]:

$$\begin{pmatrix} \mathcal{E}(\mathbf{p}_0) & \mathbf{g}^T \\ \mathbf{g} & \bar{\mathcal{H}} \end{pmatrix} \begin{pmatrix} 1 \\ \Delta\mathbf{p} \end{pmatrix} = \mathcal{E} \begin{pmatrix} 1 & 0 \\ 0 & \bar{\mathcal{S}} \end{pmatrix} \begin{pmatrix} 1 \\ \Delta\mathbf{p} \end{pmatrix} \quad (14)$$

There are  $M + 1$  possible parameter variations  $\{\Delta\mathbf{p}^{(i)}\}_{i=1}^{M+1}$ ,  $M$  being the number of parameters, corresponding to properly rescaled solutions  $\begin{pmatrix} 1 \\ \Delta\mathbf{p}^{(i)} \end{pmatrix}$  of the generalized eigenvalue

equation (14) with eigenvalues  $\{\mathcal{E}^{(i)}\}_{i=1}^{M+1}$ . Such parameter variations are stationary points of the energy expectation (12). Moreover, inserting  $\Delta\mathbf{p}^{(i)}$  in (12) and recalling (14) leads to:

$$\mathcal{E}(\mathbf{p}_0 + \Delta\mathbf{p}^{(i)}) = \mathcal{E}^{(i)} \quad (15)$$

clearly implying that the global minimum of the energy expectation (12) corresponds to the solution of (14) relative to the lowest eigenvalue. It is worth noticing that, for large parameter variations  $\Delta\mathbf{p}^{(i)}$ , the expanded WF (8) might not be an accurate approximation for the actual normalized WF (7). This could induce to unphysically low eigenvalues  $\mathcal{E}^{(i)}$ , that should be regarded to as unreliable estimates for the energy functional and rejected.

### 2.1. VMC estimators of Energy and Overlap Matrices

The elements of the energy and overlap matrices are estimated in Variational Monte Carlo (VMC) calculations. Introducing the symbol  $\langle f \rangle$  to denote the average:

$$\langle f \rangle = \int d\mathcal{R} \frac{|\Psi_0(\mathcal{R})|^2}{\int d\mathcal{R}' |\Psi_0(\mathcal{R}')|^2} f(\mathcal{R}) \quad (16)$$

of  $f(\mathcal{R})$  over the probability distribution  $p(\mathcal{R}) = \frac{|\Psi_0(\mathcal{R})|^2}{\int d\mathcal{R}' |\Psi_0(\mathcal{R}')|^2}$  evaluated using a large number of Monte Carlo configurations drawn from  $p(\mathcal{R})$ . It is readily found that:

$$\bar{\mathcal{S}}_{ij} = \left\langle \frac{\Psi_i \Psi_j}{\Psi_0 \Psi_0} \right\rangle - \left\langle \frac{\Psi_i}{\Psi_0} \right\rangle \left\langle \frac{\Psi_j}{\Psi_0} \right\rangle \quad (17)$$

and that:

$$\begin{aligned} \mathcal{E}(\mathbf{p}_0) &= \langle E_L \rangle \\ g_j &= \langle E_{L,j} \rangle + \left\langle E_L \frac{\Psi_j}{\Psi_0} \right\rangle - \langle E_L \rangle \left\langle \frac{\Psi_j}{\Psi_0} \right\rangle \\ g_i^T &= \left\langle \frac{\Psi_i}{\Psi_0} E_L \right\rangle - \left\langle \frac{\Psi_i}{\Psi_0} \right\rangle \langle E_L \rangle \\ \bar{\mathcal{H}}_{ij} &= \left\langle \frac{\Psi_i \Psi_j}{\Psi_0 \Psi_0} E_L \right\rangle - \left\langle \frac{\Psi_i}{\Psi_0} \right\rangle \left\langle \frac{\Psi_j}{\Psi_0} E_L \right\rangle \\ &\quad - \left\langle \frac{\Psi_i}{\Psi_0} E_L \right\rangle \left\langle \frac{\Psi_j}{\Psi_0} \right\rangle + \left\langle \frac{\Psi_i}{\Psi_0} \right\rangle \left\langle \frac{\Psi_j}{\Psi_0} \right\rangle \langle E_L \rangle \\ &\quad + \left\langle \frac{\Psi_i}{\Psi_0} E_{L,j} \right\rangle - \left\langle \frac{\Psi_i}{\Psi_0} \right\rangle \langle E_{L,j} \rangle \end{aligned} \quad (18)$$

where the symbols  $E_L(\mathcal{R}) = \frac{\hat{H}\Psi_0(\mathcal{R})}{\Psi_0(\mathcal{R})}$  and  $E_{L,j}(\mathcal{R}) = \frac{\hat{H}\Psi_j(\mathcal{R})}{\Psi_0(\mathcal{R})} - E_L(\mathcal{R}) \frac{\Psi_j(\mathcal{R})}{\Psi_0(\mathcal{R})}$  have been introduced. The estimators (17), (18) are written in form of covariances rather than mean values of products to highlight their adequateness to numerical simulation, as it is a well known circumstance[21, 23, 28] that fluctuations of covariances are typically smaller than those of products.

The estimators for the elements  $\mathcal{H}_{ij}$  of the energy matrix are not symmetric in  $i$  and  $j$  when approximated by averages over finite Monte Carlo samples, whereas  $\mathcal{H}$  itself is of course symmetric. The hermiticity of the energy matrix is not exploited to symmetrize the estimator (17) since, as discussed in [25, 23], using a non-symmetric estimator results in considerably smaller fluctuations in the parameter variations than using its symmetrized analog.

We remark that, despite the solution of a non-symmetric eigenvalue equation can lead to complex eigenvalues, it turns out [25, 23] that parameter variations  $\Delta\mathbf{p}_i$  corresponding to wave-functions  $\Psi(\mathbf{p}_0 + \Delta\mathbf{p}_i)$  having large overlap with the current wave-function  $\Psi(\mathbf{p}_0)$  correspond to eigenvalues with small imaginary part. Moreover, the leading divergences in (18) near the nodal surface of  $\Psi_0$ , contained in the terms  $\frac{\Psi_i(\mathcal{R}) \Psi_j(\mathcal{R})}{\Psi_0(\mathcal{R}) \Psi_0(\mathcal{R})} E_L(\mathcal{R})$  and  $\frac{\Psi_i(\mathcal{R})}{\Psi_0(\mathcal{R})} E_{L,j}(\mathcal{R})$ , cancel exactly [1], granting the adequateness of the linear method to the optimization of fermionic wave-functions.

## 2.2. Alternative Normalization

The choice (7) is very natural but not unique. In fact, a differently normalized wave-function:

$$|\tilde{\Psi}(\mathbf{p})\rangle = N(\mathbf{p})|\tilde{\Psi}(\mathbf{p})\rangle \quad (19)$$

has the first-order expansion:

$$|\bar{\Psi}(\mathbf{p})\rangle = |\bar{\Psi}_0\rangle + \sum_{j=1}^M \Delta p_j |\bar{\Psi}_j\rangle \quad (20)$$

under the condition that  $N(\mathbf{p}_0) = 1$ , with:

$$|\bar{\Psi}_j\rangle = |\bar{\Psi}_j\rangle + \frac{\partial N}{\partial p_j}(\mathbf{p}_0) |\bar{\Psi}_0\rangle \quad (21)$$

The expansions (8) and (20) lie in the subspace of the Hilbert space spanned by the current wave-function  $|\Psi_0\rangle$  and its derivatives  $|\Psi_j\rangle$ , implying that the parameter variations  $\Delta\mathbf{p}$  and  $\Delta\bar{\mathbf{p}}$  corresponding to the energy minimum are proportional [23]:

$$\Delta\bar{\mathbf{p}} = \frac{\Delta\mathbf{p}}{1 - \sum_{j=1}^M \frac{\partial N}{\partial p_j}(\mathbf{p}_0) \Delta p_j} \quad (22)$$

the derivatives  $\frac{\partial N}{\partial p_j}(\mathbf{p}_0)$  of the normalization function should be adjusted in such a way as to improve the performance of the algorithm. The empirical evidence that a good choice for nonlinear parameters is represented by:

$$\frac{\partial N}{\partial p_j}(\mathbf{p}_0) = - \frac{(1-\xi) \sum_k \bar{\mathcal{S}}_{jk} \Delta p_k}{(1-\xi) + \xi \sqrt{1 + \sum_{jk} \Delta p_j \bar{\mathcal{S}}_{jk} \Delta p_k}} \quad (23)$$

has been signaled in literature[29, 23]. The constant  $\xi \in [0, 1]$  there appearing is meant to be adjusted by hand during each iteration so that, to gain insight into the rationale behind its choice, it is worth inserting (23) into (22) obtaining:

$$\Delta\bar{\mathbf{p}} = \frac{\Delta\mathbf{p}}{1 + \frac{(1-\xi)Q}{(1-\xi) + \xi\sqrt{1+Q}}} \quad (24)$$

where  $Q = \sum_{jk} \Delta p_j \bar{\mathcal{S}}_{jk} \Delta p_k$  is a positive quantity, the overlap matrix (17) being positive-definite since:

$$\bar{\mathcal{S}}_{jk} = \left\langle \left( \frac{\Psi_j}{\Psi_0} - \left\langle \frac{\Psi_j}{\Psi_0} \right\rangle \right) \left( \frac{\Psi_k}{\Psi_0} - \left\langle \frac{\Psi_k}{\Psi_0} \right\rangle \right) \right\rangle \quad (25)$$

In the light of this observation, the denominator appearing at the right member of (24) is a monotonically decreasing function of  $\xi$  ranging from  $1+Q$  to 1, so that smaller values of  $\xi$  decreases the parameter variations. We remark that in some cases the choice  $\xi = 1$  can result in excessively large parameter variations that must be rejected; the safer choice  $\xi = 0$ , on the other hand, can lead to excessively small parameter variations that slow down the convergence of the algorithm. The choice  $\xi = \frac{1}{2}$  typically represents a good compromise between these two competing effects.

## 2.3. Regularization

If the current parameter configuration  $\mathbf{p}_0$  is not sufficiently close to the minimum for the quadratic approximation of the energy to hold, or if the number of VMC samples employed to estimate the elements of the energy and overlap matrices is too small, and the latter are insufficiently accurate, the parameter variations  $\Delta\mathbf{p}^{(i)}$  proposed by the LM may give rise to worse updated wave-functions. In such situation, it is convenient to apply a Tikhonov regularization [30, 21] to the energy matrix (14) by making the substitution:

$$\begin{pmatrix} \mathcal{E}(\mathbf{p}_0) & \mathbf{g}^T \\ \mathbf{g} & \mathcal{H} \end{pmatrix} \rightarrow \begin{pmatrix} \mathcal{E}(\mathbf{p}_0) & \mathbf{g}^T \\ \mathbf{g} & \mathcal{H} + \alpha\mathbb{I} \end{pmatrix} \quad (26)$$

$\alpha$  being a real positive number, for large values of which the parameter variations  $\Delta\mathbf{p}_i$  are easily shown to either diverge as  $\Delta\mathbf{p}_i = \alpha\mathbf{v} + \mathcal{O}(1)$ ,  $\mathbf{v}$  being solution of the nonlinear system  $\mathbf{v} = (\mathbf{g} \cdot \mathbf{v}) S\mathbf{v}$ , or vanish as  $\Delta\mathbf{p}_i = \alpha^{-1}\mathbf{w} + \mathcal{O}(\alpha^{-2})$ , being  $\mathbf{w} = -\mathbf{g}$ . Therefore, vanishing parameter variations rotate from their original direction to the steepest descent direction in a nontrivial way. The parameter  $\alpha \in (0, \infty)$  is meant to be adjusted by hand before each iteration. The criterion of choice is discussed in [1]: for several values of  $\alpha$ , the parameter variation associated to the lowest physically reasonable eigenvalue (15) is used as an input to a VMC calculation; then, either the value of  $\alpha$  yielding the lowest VMC energy is chosen, or an interpolation is carried out to identify the best value of  $\alpha$ .

### 3. Application to Condensed Matter WFs

Typical calculations in condensed matter physics involve wave-functions containing two-body and three-body correlations for Bose systems [31, 32], and backflow correlations for Fermi systems [33, 34, 35, 32, 36]. In the case of Fermi system such trial WFs are not positive definite; nevertheless, it is well known [37, 38] that in VMC calculations the Monte Carlo sampling can be restricted, without introducing any bias, to subsets of the configuration space where the sign of the trial wave-function is fixed, for instance positive. Within such regions the trial wave-function can always be written in the form:

$$\begin{aligned} \Psi(\mathbf{p}, \mathcal{R}) &= e^{-Z(\mathbf{p}, \mathcal{R})} \\ Z(\mathbf{p}, \mathcal{R}) &= \begin{cases} Z_{2B}(\mathbf{p}, \mathcal{R}) + Z_{3B}(\mathbf{p}, \mathcal{R}) \\ Z_{2B}(\mathbf{p}, \mathcal{R}) + Z_{3B}(\mathbf{p}, \mathcal{R}) + Z_{BF}(\mathbf{p}, \mathcal{R}) \end{cases} \end{aligned} \quad (27)$$

where the upper line refers to Bosons while the lower line to Fermions.

Explicitly, the two-body, three-body and backflow correlations have, quite generally, the following form:

$$\begin{aligned} Z_{2B}(\mathbf{p}, \mathcal{R}) &= \sum_{i < j=1}^N u(\mathbf{p}_{2B}, r_{ij}) \\ Z_{3B}(\mathbf{p}, \mathcal{R}) &= \frac{\lambda_T}{2} \sum_{l=1}^N \mathbf{G}_l(\mathbf{p}_{3B}, \mathcal{R}) \cdot \mathbf{G}_l(\mathbf{p}_{3B}, \mathcal{R}) \\ &\quad - \lambda_T \sum_{i < j=1}^N \tilde{\xi}(\mathbf{p}_{3B}, r_{ij}) \end{aligned} \quad (28)$$

$$Z_{BF}(\mathbf{p}, \mathcal{R}) = -\log(\det(\varphi_k(\mathbf{x}_i(\mathbf{p}_{BF}, \mathcal{R}))))$$

where the notation  $\mathbf{p} = (\mathbf{p}_{2B}, \lambda_T, \mathbf{p}_{3B}, \mathbf{p}_{BF})$  is used to separate the variational parameters into subsets related to distinct WF parts. In (28)  $r_{ij} = |\mathbf{r}_i - \mathbf{r}_j|$  and:

$$\begin{aligned} \tilde{\xi}(\mathbf{p}_{3B}, r) &= \xi^2(\mathbf{p}_{3B}, r)r^2 \\ \mathbf{G}_l(\mathbf{p}_{3B}, \mathcal{R}) &= \sum_{i \neq l} \xi(\mathbf{p}_{3B}, r_{il}) (\mathbf{r}_l - \mathbf{r}_i) \\ \mathbf{x}_i(\mathbf{p}_{BF}, \mathcal{R}) &= \mathbf{r}_i + \sum_{j \neq i} \eta(\mathbf{p}_{BF}, r_{ij}) (\mathbf{r}_i - \mathbf{r}_j) \end{aligned} \quad (29)$$

for some parameter-dependent radial functions  $u(\mathbf{p}_{2B}, r)$ ,  $\xi(\mathbf{p}_{3B}, r)$  and  $\eta(\mathbf{p}_{BF}, r)$ . The VMC estimators for the energy and overlap matrices (17) contain the quantities (6), also occurring in the framework of other optimization techniques [19, 20, 21, 22, 23], of which a completely explicit and numerically efficient expression will be now detailed.

First, we immediately observe that:

$$\frac{\Psi_j(\mathbf{p}, \mathcal{R})}{\Psi(\mathbf{p}, \mathcal{R})} = -\partial_{p_j} Z(\mathbf{p}, \mathcal{R}) \quad (30)$$

is a sum of contributions, each of which is associated to a specific part of the wave-function. Moreover:

$$\begin{aligned} E_{L,j}(\mathbf{p}, \mathcal{R}) &= -\frac{\hbar^2}{2m} \sum_i \left( \frac{\Delta_i \Psi_j(\mathbf{p}, \mathcal{R})}{\Psi(\mathbf{p}, \mathcal{R})} \right. \\ &\quad \left. - \frac{\Psi_j(\mathbf{p}, \mathcal{R})}{\Psi(\mathbf{p}, \mathcal{R})} \frac{\Delta_i \Psi(\mathbf{p}, \mathcal{R})}{\Psi(\mathbf{p}, \mathcal{R})} \right) \end{aligned} \quad (31)$$

and since:

$$\begin{aligned} -\frac{\Delta_i \Psi_j(\mathbf{p}, \mathcal{R})}{\Psi(\mathbf{p}, \mathcal{R})} &= \frac{\Delta_i \Psi(\mathbf{p}, \mathcal{R})}{\Psi(\mathbf{p}, \mathcal{R})} \partial_{p_j} Z(\mathbf{p}, \mathcal{R}) \\ &\quad + \Delta_i \partial_{p_j} Z(\mathbf{p}, \mathcal{R}) + 2 \frac{\nabla_i \Psi(\mathbf{p}, \mathcal{R})}{\Psi(\mathbf{p}, \mathcal{R})} \cdot \nabla_i \partial_{p_j} Z(\mathbf{p}, \mathcal{R}) \end{aligned} \quad (32)$$

merging (30) and (31) yields:

$$\begin{aligned} E_{L,j}(\mathbf{p}, \mathcal{R}) &= \frac{\hbar^2}{2m} \sum_i \left( \Delta_i \partial_{p_j} Z(\mathbf{p}, \mathcal{R}) \right. \\ &\quad \left. + 2 \frac{\nabla_i \Psi(\mathbf{p}, \mathcal{R})}{\Psi(\mathbf{p}, \mathcal{R})} \cdot \nabla_i \partial_{p_j} Z(\mathbf{p}, \mathcal{R}) \right) \end{aligned} \quad (33)$$

Equations (30) and (33) pinpoint the need of computing the quantities  $\partial_{p_j} Z(\mathbf{p}, \mathcal{R})$ ,  $\nabla_i \partial_{p_j} Z(\mathbf{p}, \mathcal{R})$  and  $\sum_i \Delta_i \partial_{p_j} Z(\mathbf{p}, \mathcal{R})$  in order to construct the VMC estimators of the energy and overlap matrices. We remark that, as the logarithm  $-Z(\mathbf{p}, \mathcal{R})$  of the trial WF is additive in the terms associated to the many-body correlations it encompasses, its derivatives with respect to the variational parameters can be treated separately. In the forthcoming calculations, for all parameter-dependent radial functions  $f(\mathbf{p}, r)$  the notation  $\partial_{p_j} f^{(i)}(\mathbf{p}, r)$  will be employed to indicate the

$i$ -th radial derivative of  $\partial_{p_j} f(\mathbf{p}, r)$ . Moreover, the symbol  $r_{ij,\alpha}$  will be used as a shortcut for  $(\mathbf{r}_i - \mathbf{r}_j)_\alpha$  with  $\alpha = 1 \dots d$ ,  $d$  being the dimensionality of the system.

### 3.1. Two-Body Correlations

The contribution to the quantity  $Z(\mathbf{p}, \mathcal{R})$  brought by the two-body Jastrow factor reads:

$$Z(\mathbf{p}, \mathcal{R}) = \sum_{k < l} u(\mathbf{p}_{2B}, r_{kl}) \quad (34)$$

so that:

$$\partial_{p_j} Z(\mathbf{p}, \mathcal{R}) = \sum_{k < l} \partial_{p_j} u(\mathbf{p}_{2B}, r_{kl}) \quad (35)$$

and:

$$\partial_{r_{i\alpha}} \partial_{p_j} Z(\mathbf{p}, \mathcal{R}) = \sum_{k \neq i} \partial_{p_j} u^{(1)}(\mathbf{p}_{2B}, r_{ik}) \frac{r_{ik,\alpha}}{r_{ik}} \quad (36)$$

The laplacian  $\sum_i \Delta_i \partial_{p_j} Z(\mathbf{p}, \mathcal{R})$  is readily obtained from:

$$\begin{aligned} \partial_{i,\alpha}^2 \partial_{p_j} Z(\mathbf{p}, \mathcal{R}) &= \sum_{k \neq i} \partial_{p_j} u^{(2)}(\mathbf{p}_{2B}, r_{ik}) \frac{r_{ik,\alpha}^2}{r_{ik}^2} \\ &+ \frac{\partial_{p_j} u^{(1)}(\mathbf{p}_{2B}, r_{ik})}{r_{ik}} \frac{r_{ik}^2 - r_{ik,\alpha}^2}{r_{ik}^2} \end{aligned} \quad (37)$$

and reads:

$$\begin{aligned} \sum_i \Delta_i \partial_{p_j} Z(\mathbf{p}, \mathcal{R}) &= 2 \left( \sum_{i < k} \partial_{p_j} u^{(2)}(\mathbf{p}_{2B}, r_{ik}) \right. \\ &\left. + (d-1) \frac{\partial_{p_j} u^{(1)}(\mathbf{p}_{2B}, r_{ik})}{r_{ik}} \right) \end{aligned} \quad (38)$$

### 3.2. Backflow Correlations

The contribution to the quantity  $Z(\mathbf{p}, \mathcal{R})$  brought by the backflow correlations reads:

$$Z(\mathbf{p}, \mathcal{R}) = -\log(\det(\varphi)) \quad (39)$$

where  $\varphi_{ki} = \varphi_k(\mathbf{p}_{BF}, \mathbf{x}_i)$ . In order to construct the VMC estimators of the energy and overlap matrices, the identities[39]:

$$\partial_{p_j} \det(\varphi) = \det(\varphi) \operatorname{tr}(\varphi^{-1} \partial_{p_j} \varphi) \quad (40)$$

$$\partial_{r_{i\alpha}} \varphi^{-1} = -\varphi^{-1} \partial_{r_{i\alpha}} \varphi \varphi^{-1} \quad (41)$$

will prove of fundamental importance. In fact:

$$\partial_{p_j} Z(\mathbf{p}, \mathcal{R}) = -\operatorname{tr}(\varphi^{-1} \partial_{p_j} \varphi) \quad (42)$$

as immediate consequence of (40). Making use of (41), we readily obtain:

$$\begin{aligned} \partial_{r_{i\alpha}} \partial_{p_j} Z(\mathbf{p}, \mathcal{R}) &= \operatorname{tr}(\varphi^{-1} \partial_{r_{i\alpha}} \varphi \varphi^{-1} \partial_{p_j} \varphi) \\ &- \operatorname{tr}(\varphi^{-1} (\partial_{r_{i\alpha}} \partial_{p_j} \varphi)) \end{aligned} \quad (43)$$

Eventually:

$$\begin{aligned} \partial_{r_{i\alpha}}^2 \partial_{p_j} Z(\mathbf{p}, \mathcal{R}) &= \operatorname{tr}(\partial_{r_{i\alpha}} (\varphi^{-1} \partial_{r_{i\alpha}} \varphi \varphi^{-1}) \partial_{p_j} \varphi) \\ &+ \operatorname{tr}((\varphi^{-1} \partial_{r_{i\alpha}} \varphi \varphi^{-1}) (\partial_{r_{i\alpha}} \partial_{p_j} \varphi)) \\ &- \operatorname{tr}(\partial_{r_{i\alpha}} \varphi^{-1} \partial_{r_{i\alpha}} \partial_{p_j} \varphi) \\ &- \operatorname{tr}(\varphi^{-1} \partial_{r_{i\alpha}}^2 \partial_{p_j} \varphi) \end{aligned} \quad (44)$$

Recalling (40), it is clear that the second and third terms of (44) are equal and opposite, implying that:

$$\begin{aligned} \partial_{r_{i\alpha}}^2 \partial_{p_j} Z(\mathbf{p}, \mathcal{R}) &= \operatorname{tr}(\partial_{r_{i\alpha}} (\varphi^{-1} \partial_{r_{i\alpha}} \varphi \varphi^{-1}) \partial_{p_j} \varphi) \\ &+ 2\operatorname{tr}((\varphi^{-1} \partial_{r_{i\alpha}} \varphi \varphi^{-1}) (\partial_{r_{i\alpha}} \partial_{p_j} \varphi)) \\ &- \operatorname{tr}(\varphi^{-1} \partial_{r_{i\alpha}}^2 \partial_{p_j} \varphi) \end{aligned} \quad (45)$$

Observing that:

$$\begin{aligned} \partial_{r_{i\alpha}} (\varphi^{-1} \partial_{r_{i\alpha}} \varphi \varphi^{-1}) &= \varphi^{-1} \partial_{r_{i\alpha}}^2 \varphi \varphi^{-1} \\ &- 2\varphi^{-1} \partial_{r_{i\alpha}} \varphi \varphi^{-1} \partial_{r_{i\alpha}} \varphi \varphi^{-1} \end{aligned} \quad (46)$$

the following estimator for  $\partial_{r_{i\alpha}}^2 \partial_{p_j} Z(\mathbf{p}, \mathcal{R})$  is found:

$$\begin{aligned} \partial_{r_{i\alpha}}^2 \partial_{p_j} Z(\mathbf{p}, \mathcal{R}) &= \operatorname{tr}((\varphi^{-1} \partial_{r_{i\alpha}}^2 \varphi) \varphi^{-1} \partial_{p_j} \varphi) \\ &- 2\operatorname{tr}((\varphi^{-1} \partial_{r_{i\alpha}} \varphi) (\varphi^{-1} \partial_{r_{i\alpha}} \varphi) (\varphi^{-1} \partial_{p_j} \varphi)) \\ &+ 2\operatorname{tr}((\varphi^{-1} \partial_{r_{i\alpha}} \varphi) (\varphi^{-1} \partial_{r_{i\alpha}} \partial_{p_j} \varphi)) \\ &- \operatorname{tr}(\varphi^{-1} \partial_{r_{i\alpha}}^2 \partial_{p_j} \varphi) \end{aligned} \quad (47)$$



Despite their slightly intricate appearance, the estimators (42), (43) and (47) determine a total computational cost of the optimization procedure scaling as  $\mathcal{O}(N^3)$ . This non-trivial result will be derived in detail in Appendix A.

### 3.3. Three-Body Correlations

The contribution to the quantity  $Z(\mathbf{p}, \mathcal{R})$  brought by the three-body correlations reads:

$$Z(\mathbf{p}, \mathcal{R}) = \frac{\lambda_T}{2} \sum_{l=1}^N \mathbf{G}(l) \cdot \mathbf{G}(l) - \lambda_T \sum_{j < k} \tilde{\xi}(r_{jk}) \quad (48)$$

If  $p_j = \lambda_T$ , the quantity  $\partial_{p_j} Z(\mathbf{p}, \mathcal{R})$  is simply  $\frac{1}{\lambda_T} Z(\mathbf{p}, \mathcal{R})$  so that:

$$\begin{aligned} \partial_{r_{i\alpha}} \partial_{p_j} Z(\mathbf{p}, \mathcal{R}) = \\ \sum_{l, \beta} \partial_{r_{i\alpha}} G_\beta(l) G_\beta(l) - \sum_{k \neq i} \frac{r_{ik, \alpha}}{r_{ik}} \tilde{\xi}^{(1)}(r_{ik}) \end{aligned} \quad (49)$$

and:

$$\begin{aligned} \sum_{\alpha} \partial_{r_{i\alpha}}^2 \partial_{p_j} Z(\mathbf{p}, \mathcal{R}) = \\ \sum_{l, \alpha, \beta} (\partial_{r_{i\alpha}} G_\beta(l) \partial_{i\alpha} G_\beta(l) + \partial_{r_{i\alpha}}^2 G_\beta(l) G_\beta(l)) \\ - \sum_{p \neq i} \left( \tilde{\xi}^{(2)}(r_{ip}) + (d-1) \frac{\tilde{\xi}^{(1)}(r_{ip})}{r_{ip}} \right) \end{aligned} \quad (50)$$

For all other parameters  $p_j \in \mathbf{p}_{3B}$ :

$$\begin{aligned} \partial_{p_j} Z(\mathbf{p}, \mathcal{R}) = \\ \lambda_T \sum_{l, \beta} \partial_{p_j} G_\beta(l) G_\beta(l) - \lambda_T \sum_{l < k} \partial_{p_j} \tilde{\xi}(r_{lk}) \end{aligned} \quad (51)$$

where:

$$\partial_{p_j} G_\beta(l) = \sum_{k \neq l} \partial_{p_j} \xi(r_{lk}) (\mathbf{r}_l - \mathbf{r}_k) \quad (52)$$

Moreover:

$$\begin{aligned} \partial_{r_{i\alpha}} \partial_{p_j} Z(\mathbf{p}, \mathcal{R}) = \\ \lambda_T \sum_{l, \beta} (\partial_{r_{i\alpha}} \partial_{p_j} G_\beta(l) G_\beta(l) + \partial_{r_{i\alpha}} G_\beta(l) \partial_{p_j} G_\beta(l)) \\ - \lambda_T \sum_{k \neq i} \frac{r_{ik, \alpha}}{r_{ik}} \partial_{p_j} \tilde{\xi}^{(1)}(r_{ik}) \end{aligned} \quad (53)$$

with:

$$\begin{aligned} \partial_{r_{i\alpha}} \partial_{p_j} G_\beta(l) = \\ = \delta_{li} \sum_{p \neq l} \left( \delta_{\alpha\beta} \partial_{p_j} \xi(r_{lp}) + \frac{\partial_{p_j} \xi^{(1)}(r_{lp})}{r_{lp}} r_{lp, \alpha} r_{lp, \beta} \right) \\ - (1 - \delta_{li}) \left( \delta_{\alpha\beta} \partial_{p_j} \xi(r_{li}) + \frac{\partial_{p_j} \xi^{(1)}(r_{li})}{r_{li}} r_{li, \alpha} r_{li, \beta} \right) \end{aligned} \quad (54)$$

The only remaining quantity is:

$$\begin{aligned} \sum_{\alpha} \partial_{r_{i\alpha}}^2 \partial_{p_j} Z(\mathbf{p}, \mathcal{R}) = \\ \lambda_T \sum_{l, \alpha, \beta} \left( 2 \partial_{r_{i\alpha}} \partial_{p_j} G_\beta(l) \partial_{r_{i\alpha}} G_\beta(l) \right. \\ \left. + \partial_{r_{i\alpha}}^2 \partial_{p_j} G_\beta(l) G_\beta(l) + \partial_{r_{i\alpha}}^2 G_\beta(l) \partial_{p_j} G_\beta(l) \right) \\ - \lambda_T \sum_{p \neq i} \left( \partial_{p_j} \tilde{\xi}^{(2)}(r_{ip}) + (d-1) \frac{\partial_{p_j} \tilde{\xi}^{(1)}(r_{ip})}{r_{ip}} \right) \end{aligned} \quad (55)$$

with:

$$\begin{aligned} \partial_{p_j} \sum_{\alpha} \partial_{r_{i\alpha}}^2 G_\beta(l) = \\ \delta_{il} \sum_{p \neq l} \left( (d+1) \partial_{p_j} \xi^{(1)}(r_{lp}) \frac{r_{lp, \beta}}{r_{lp}} + \partial_{p_j} \xi^{(2)}(r_{lp}) r_{lp, \beta} \right) \\ + (1 - \delta_{il}) \left( (d+1) \partial_{p_j} \xi^{(1)}(r_{li}) \frac{r_{li, \beta}}{r_{li}} + \partial_{p_j} \xi^{(2)}(r_{li}) r_{li, \beta} \right) \end{aligned} \quad (56)$$

## 4. Results

The performance of the algorithm has been benchmarked simulating a 3D system of  $N = 64$   $^4\text{He}$  atoms interacting through the HFDHE2 potential [40] near the equilibrium density  $n = 0.02186 \text{ \AA}^{-3}$ , by making use of a wave-function encompassing Jastrow-McMillan two-body correlations [41]:

$$u(r) = \frac{1}{2} \left( \frac{b}{r} \right)^m \quad (57)$$

and gaussian three-body correlations [34, 32]:

$$\xi(r) = e^{-w_T^2 (r-r_T)^2} \quad (58)$$

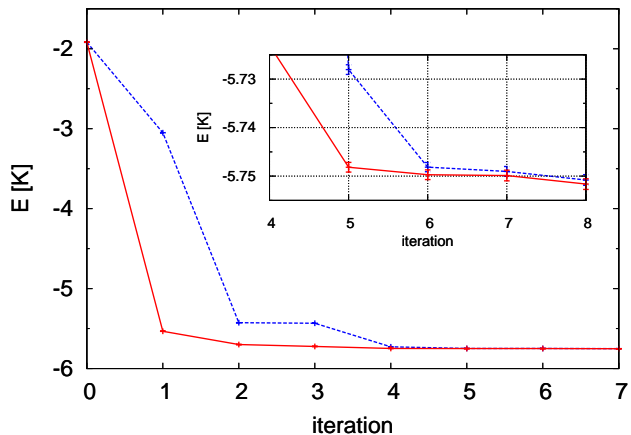


Figure 1: (color online) Convergence of the VMC total energy of  $N = 64$   ${}^4\text{He}$  atoms at equilibrium density during the optimization of the Jastrow-McMillan factor with (solid) and without (dashed) regularization.

and a 2D system of  $N = 26$  electrons at Wigner-Seitz radius  $r_s = 1$ , by making use of a wave-function encompassing:

1. parameter-free RPA two-body correlations [42, 43, 44, 35]:

$$2nu(k) = \sqrt{\frac{1}{S_0^2(k)} + \frac{4v(k)mn}{\hbar^2 k^2}} - \frac{1}{S_0(k)} \quad (59)$$

here detailed in Fourier space with  $v(k) = \frac{2\pi e^2}{|k|}$  and:

$$\frac{\pi}{2} S_0(k) = \sin^{-1}\left(\frac{k}{2k_F}\right) + \frac{k}{2k_F} \sqrt{1 - \left(\frac{k}{2k_F}\right)^2} \quad (60)$$

2. rational backflow correlations parametrized as in [35]:

$$\eta(r) = \lambda_B \frac{1 + s_B r}{r_B + w_B r + r^{\frac{7}{2}}} \quad (61)$$

3. and gaussian three-body correlations [34, 35, 32]:

$$\xi(r) = e^{-w_T^2 (r-r_T)^2} \quad (62)$$

#### 4.1. The case of ${}^4\text{He}$

The Jastrow-McMillan factor has been first optimized in absence of three-body correlations: the convergence of the VMC energy is illustrated in figure

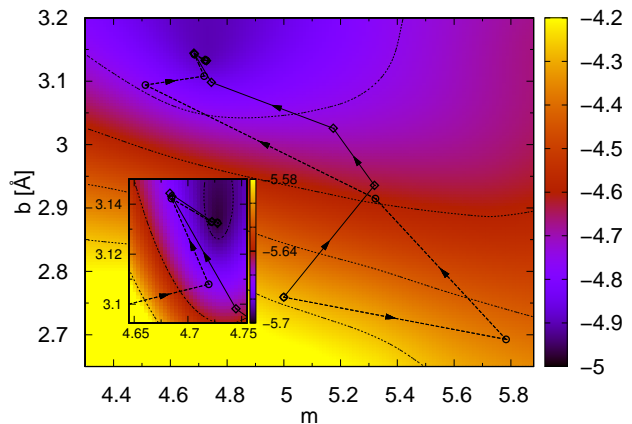


Figure 2: (color online) Convergence of the Jastrow-McMillan factor of  $N = 64$   ${}^4\text{He}$  atoms at equilibrium density with (solid arrows) and without (dashed arrows) regularization. To show the improvement brought by the regularization, the flows in the parameter space impressed by the two algorithms are superposed to a contour plot of the energy landscape  $\mathcal{E}(\mathbf{p})$  obtained via several VMC calculations; dotted lines represent level curves of the energy landscape.

(1), and the flow in the parameter space impressed by the optimization algorithm is illustrated in figure (2). In both figures, two distinct series have been obtained by applying the basic parameter update algorithm described in section (2), and by improving it with the alternative normalization and regularization procedures illustrated in subsections (2.2) and (2.3) respectively. Figures (1) and (2) show that the use of alternative normalization and regularization results in a more rapid convergence of the algorithm. We obtain an energy  $-5.752(1) K$ , in good agreement with the value  $-5.72(2) K$  reported in [45]. The gaussian factor has been subsequently optimized keeping the Jastrow-McMillan factor fixed at the parameter values  $\mathbf{p}_{2B} = (b, m)$  corresponding to the last step of figure (2). The convergence of the VMC energy is illustrated in figure (3), and the flow in the parameter space in figure (4). A simultaneous optimization of the two-body and three-body correlations has been finally carried out, starting from the parameter values corresponding to the last step of figures (2) and (4), leading to the results illustrated in figures (5) and (6). We obtain an energy  $-6.675(1) K$ , in good

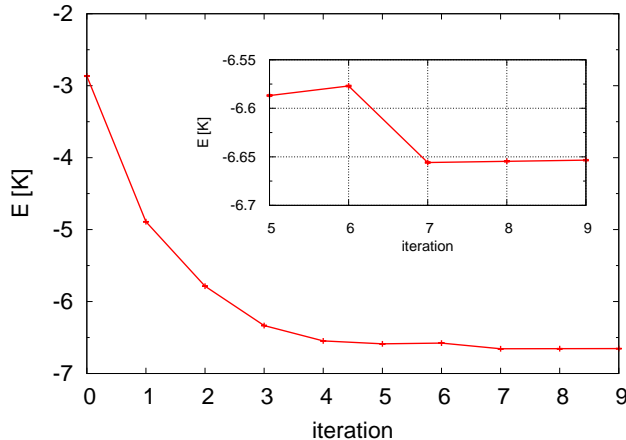


Figure 3: (color online) Convergence of the VMC total energy of  $N = 64$   ${}^4\text{He}$  atoms at equilibrium density during the optimization of the gaussian three-body factor in a wave function composed of a three-body part multiplied by a previously-optimized Jastrow-McMillan factor.

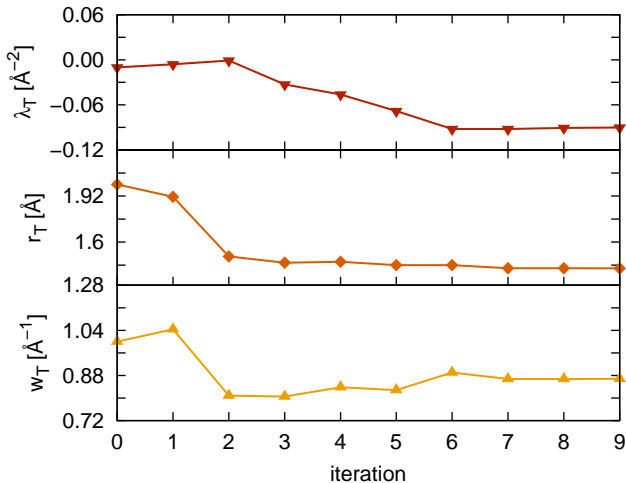


Figure 4: Convergence of the gaussian three-body factor of  $N = 64$   ${}^4\text{He}$  atoms at equilibrium density.

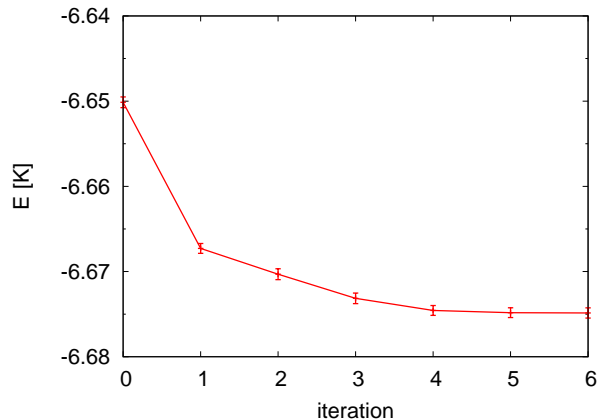


Figure 5: (color online) Convergence of the VMC total energy of  $N = 64$   ${}^4\text{He}$  atoms at equilibrium density during the optimization of a wave function composed of a Jastrow-McMillan factor and of a gaussian three-body factor.

agreement with the value  $-6.65(2) K$  reported in [45].

#### 4.2. The case of 2D electrons

The backflow correlations have been first optimized in absence of three-body correlations: the convergence of the VMC energy is illustrated in figure (7), and the flow in the parameter space impressed by the optimization algorithm in figure (8). We obtain the energy  $-0.3846(2) Ry$ , in good agreement with the value  $-0.3839(4) Ry$  reported in [46]. The gaussian factor has been subsequently optimized keeping the backflow correlations fixed at the parameter values  $\mathbf{p}_{BF} = (\lambda_B, s_B, r_B, w_B)$  corresponding to the last step of figure (7). The convergence of the VMC energy is illustrated in figure (9), and the flow in the parameter space in figure (10). A simultaneous optimization of the three-body and backflow correlations has been finally carried out, starting from a randomly chosen parameter configuration, leading to the results illustrated in figures (11) and (12). We remark that, although a more rapid convergence of the backflow parameters is attained in absence of the three-body correlations, the algorithm proves able to simultaneously handle parameters with different orders of magnitude and pertaining to different parts of the WF.

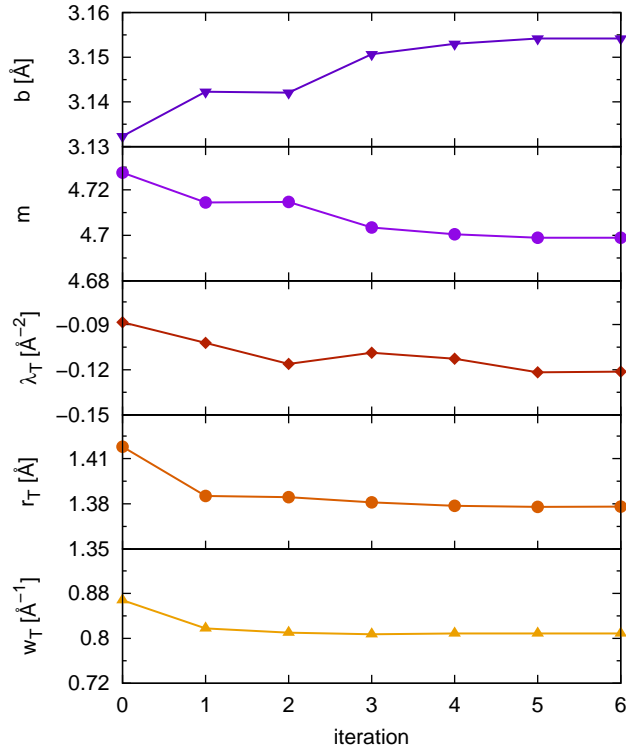


Figure 6: Convergence of Jastrow-McMillan (upper panels) and gaussian three-body (lower panels) factors of  $N = 64$   ${}^4\text{He}$  atoms at equilibrium density.

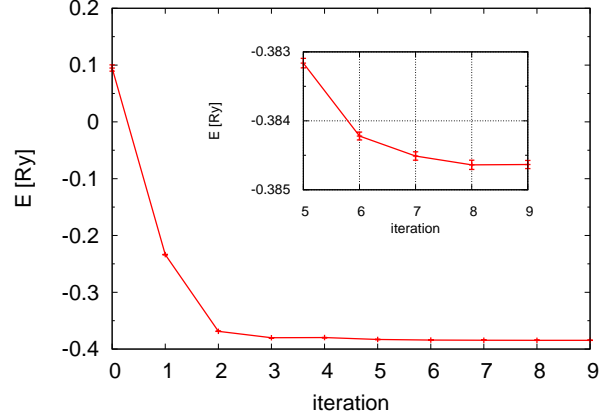


Figure 7: (color online) Convergence of the VMC total energy of a 2D system of  $N = 26$  electrons at  $r_s = 1$  during the optimization of the backflow correlations of a wave-function composed of a parameter-free Jastrow-RPA factor and a Slater-backflow determinant.

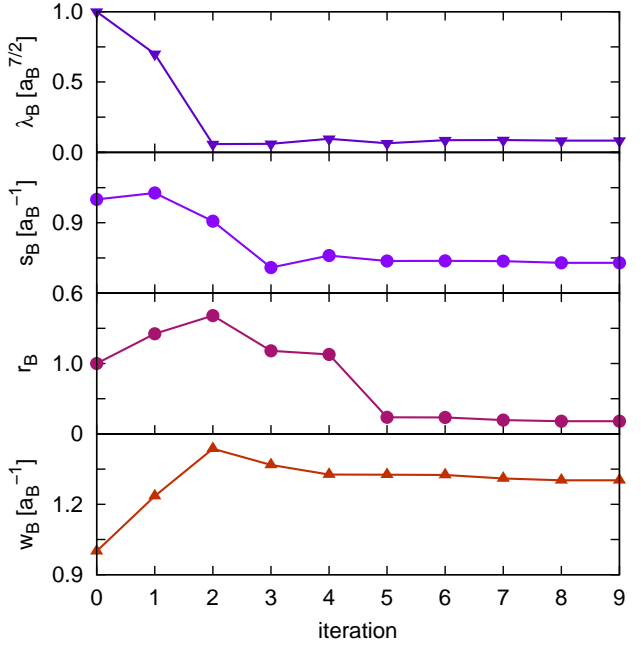


Figure 8: (color online) Convergence of backflow correlations of a 2D system of  $N = 26$  electrons at  $r_s = 1$  during the optimization of the backflow correlations of a wave-function composed of a parameter-free Jastrow-RPA factor and a Slater-backflow determinant.

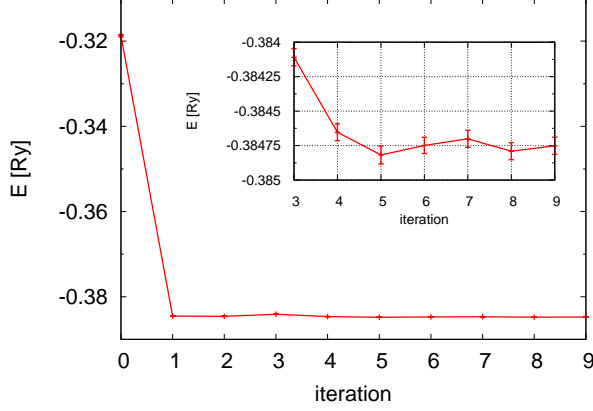


Figure 9: (color online) Convergence of the VMC total energy of a 2D system of  $N = 26$  electrons at  $r_s = 1$  during the optimization of a gaussian three-body factor of a wave-function composed of a parameter-free Jastrow-RPA factor, a previously-optimized Slater-backflow determinant and a gaussian three-body factor.

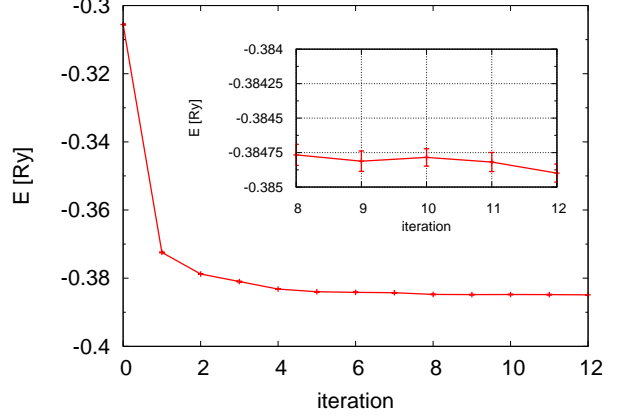


Figure 11: (color online) Convergence of the VMC total energy of a 2D system of  $N = 26$  electrons at  $r_s = 1$  during the simultaneous optimization of backflow and three-body correlations.

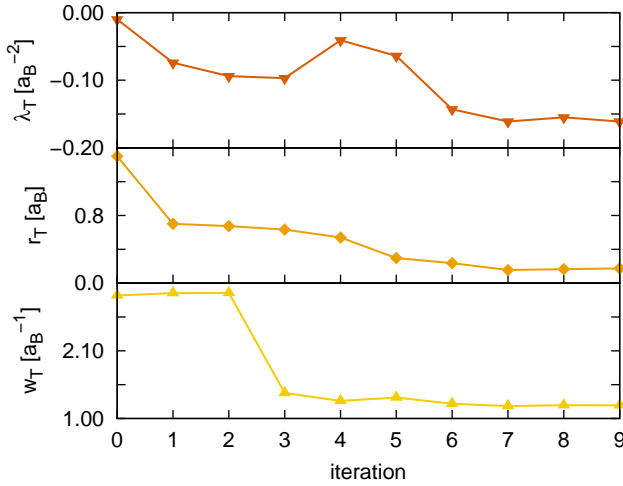


Figure 10: (color online) Convergence of the gaussian three-body factor of a 2D system of  $N = 26$  electrons at  $r_s = 1$  during the optimization of the gaussian three-body factor of a wave-function composed of a parameter-free Jastrow-RPA factor, a previously-optimized Slater-backflow determinant and a gaussian three-body factor.

$N$	$t$ (sec)
2	0.15
10	0.55
26	5.90
42	22.50
58	56.97
72	108.00
98	264.54
162	1141.35
242	3727.22

Table 1: Duration per core  $t$  (in seconds) of 100 optimization blocks each made of 10 VMC steps in for several numbers  $N$  of electrons. The third degree polynomial  $t(N) = a_2 N^2 + a_3 N^3$ , with  $a_2 = 2.72226 \times 10^{-3}$  and  $a_3 = 2.51738 \times 10^{-4}$  fits the data with reduced sum of square residuals equal to 0.72. Attempting to fit a quartic polynomial, adding a term  $a_4 N^4$ , we find a coefficient  $a_4 \sim 10^{-10}$  with negative sign, compatible with zero, confirming the scaling of the algorithm.

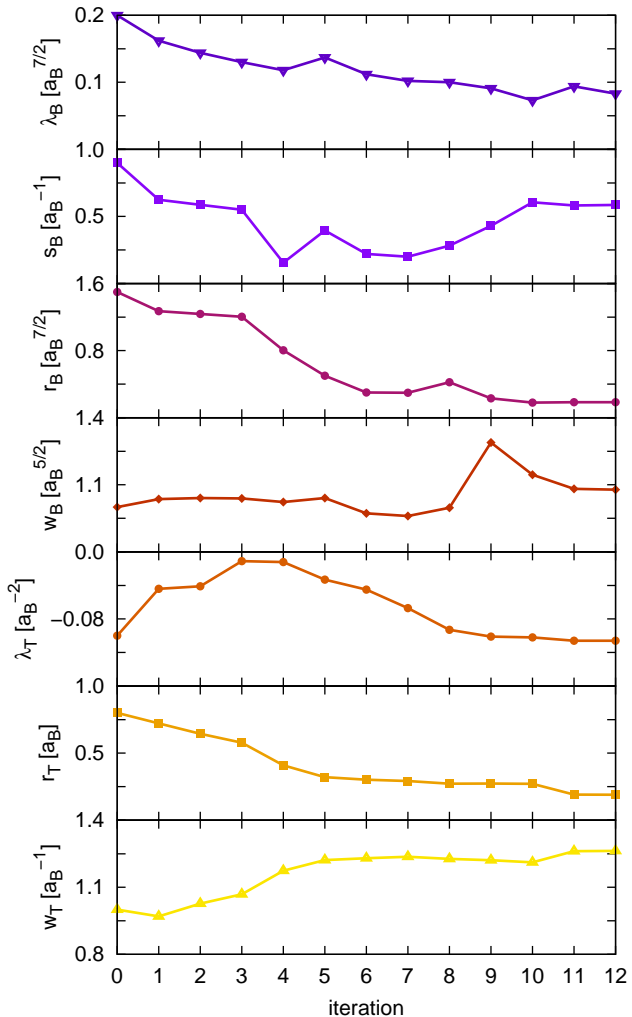


Figure 12: (color online) Convergence of the backflow and three-body correlations of a 2D system of  $N = 26$  electrons at  $r_s = 1$  during their simultaneous optimization.

In table (1) we provide estimates of the duration of optimization runs, confirming the cubic scaling of the methodology in the number of particles. The duration estimates were obtained using the WTIME function of the MPI library, monitoring runs in which solely backflow correlations were optimized. In the caption we show that the execution time per CPU actually scales as  $N^3$ , a further confirmation of the key result of the present work, and a quantitative estimate of the performance of the algorithm.

## 5. Conclusions

We have shown that, for correlated WFs containing two and three-body together with backflow correlations, it is possible to implement the Linear Method to optimize the variational parameters with a favorable complexity  $\mathcal{O}(N^3)$ ,  $N$  being the number of particles. We have described the algorithm in full detail showing the non-trivial recipes to evaluate the derivatives with respect to the variational parameters and also the overlap and the energy matrices, attaining the best possible complexity allowed by the need to perform VMC calculations, which already scale as  $N^3$ .

## 6. Acknowledgments

We acknowledge the CINECA and the Regione Lombardia award, under the LISA initiative, for the availability of high-performance computing resources and support. One of the authors (M. M.) would like to acknowledge funding provided by the Dr. Davide Colosimo Award, celebrating the memory of physicist Davide Colosimo.

## Appendix A. Efficient Optimization of Backflow Correlations

In the present section, the quantities (42), (43) and (47) will be computed and proved to have computational cost scaling as  $\mathcal{O}(N^3)$ ,  $N$  being the number of particles constituting the system. The notations of reference [35], Appendix B, will be adopted. To this purpose, the following intermediate tensors need to

be computed, with the numbers in brackets denoting the computational complexity:

1. the quasiparticle coordinates and their first and second derivatives [ $N^2$ ]:

$$\begin{aligned} x_{l\beta} &= r_{l\beta} + \sum_{j \neq l} \eta(r_{lj}) (r_{l\beta} - r_{j\beta}) \\ A_{il}^{\alpha\beta} &= \partial_{r_{i\alpha}} x_{l\beta} \quad B_{il}^{\alpha\beta} = \partial_{r_{i\alpha}}^2 x_{l\beta} \\ C_l^\beta &= \partial_{p_j} x_{l\beta} = \sum_{j \neq l} \partial_{p_j} \eta(r_{lj}) (r_{l\beta} - r_{j\beta}) \\ D_{il}^{\alpha\beta} &= \partial_{p_j} A_{il}^{\alpha\beta} \quad E_{il}^{\alpha\beta} = \partial_{p_j} B_{il}^{\alpha\beta} \end{aligned} \quad (\text{A.1})$$

2. the backflow matrix and its first, second and third derivatives [ $N^2$ ]:

$$\begin{aligned} \varphi_{kl} &= \varphi_k(x_l) \\ \varphi_{kl}^\beta &= \frac{\partial \varphi_{kl}}{\partial x_{l\beta}} \\ \varphi_{kl}^{\beta\beta'} &= \frac{\partial^2 \varphi_{kl}}{\partial x_{l\beta} \partial x_{l\beta'}} \\ \varphi_{kl}^{\beta\beta'\beta''} &= \frac{\partial^3 \varphi_{kl}}{\partial x_{l\beta} \partial x_{l\beta'} \partial x_{l\beta''}} \end{aligned} \quad (\text{A.2})$$

3. the inverse  $\varphi^{-1}$  of the backflow matrix [ $N^3$ ] and the tensors [at most  $N^3$ ]:

$$\begin{aligned} F_{kl}^\beta &= \sum_r \varphi_{kr}^{-1} \varphi_{rl}^\beta \\ G_{kl} &= \sum_r \varphi_{kr}^{-1} \partial_{p_j} \varphi_{rl} = \sum_\beta F_{kl}^\beta C_l^\beta \\ H_{ll'}^{\beta\beta'} &= \sum_{i\alpha} A_{il}^{\alpha\beta} A_{il'}^{\alpha\beta'} \\ J_{kl}^{\beta\beta'} &= \sum_r \varphi_{kr}^{-1} \varphi_{rl}^{\beta\beta'} \end{aligned} \quad (\text{A.3})$$

the tensor  $G_{kl}$  has been explicitated making use of the chain rule  $\partial_{p_j} \varphi_{kl} = \sum_\beta \partial_{p_j} x_{l\beta} \varphi_{kl}^\beta = \sum_\beta C_l^\beta \varphi_{kl}^\beta$  and observing that:

$$G_{kl} = \sum_r \varphi_{kr}^{-1} \varphi_{rl}^\beta C_l^\beta = \sum_\beta F_{kl}^\beta C_l^\beta \quad (\text{A.4})$$

Recalling equations (42) and (A.3), we readily conclude that [ $N$ ]:

$$\partial_{p_j} \mathcal{Z}(\mathbf{p}, \mathcal{R}) = - \sum_l G_{ll} \quad (\text{A.5})$$

The quantity  $\partial_{r_{i\alpha}} \partial_{p_j} \mathcal{Z}(\mathbf{p}, \mathcal{R})$  results from the difference of two terms:

1.  $\text{tr}(\varphi^{-1} \partial_{r_{i\alpha}} \varphi \varphi^{-1} \partial_{p_j} \varphi)$
2.  $\text{tr}(\varphi^{-1} (\partial_{r_{i\alpha}} \partial_{p_j} \varphi))$

which, recalling equations (43), (A.1) and the chain rule:

$$\begin{aligned} \partial_{r_{i\alpha}} \varphi_{kl} &= \sum_{l\beta} A_{il}^{\alpha\beta} \partial_{x_{l\beta}} \varphi_{kl} \\ \partial_{r_{i\alpha}} \partial_p \varphi_{kl} &= \sum_\beta D_{il}^{\alpha\beta} \varphi_{kl}^\beta + \sum_{\beta\beta'} C_l^\beta A_{il}^{\alpha\beta'} \varphi_{kl}^{\beta\beta'} \end{aligned} \quad (\text{A.6})$$

can be cast in the form [ $N^2$ ]:

$$\text{tr}(\varphi^{-1} \partial_{r_{i\alpha}} \varphi \varphi^{-1} \partial_{p_j} \varphi) = \sum_{lk\beta} A_{il}^{\alpha\beta} G_{lk} F_{kl}^\beta \quad (\text{A.7})$$

$$\text{tr}(\varphi^{-1} (\partial_{r_{i\alpha}} \partial_{p_j} \varphi)) = \sum_{l\beta\beta'} A_{il}^{\alpha\beta} C_l^{\beta'} J_{ll}^{\beta\beta'} + \sum_{l\beta} D_{il}^{\alpha\beta} F_{ll}^\beta \quad (\text{A.8})$$

The quantity  $\partial_{r_{i\alpha}}^2 \partial_{p_j} \mathcal{Z}(\mathbf{p}, \mathcal{R})$  results from a linear combination of the terms:

1.  $\text{tr}((\varphi^{-1} \partial_{r_{i\alpha}}^2 \varphi) \varphi^{-1} \partial_{p_j} \varphi)$
2.  $\text{tr}((\varphi^{-1} \partial_{r_{i\alpha}} \varphi) (\varphi^{-1} \partial_{r_{i\alpha}} \varphi) (\varphi^{-1} \partial_{p_j} \varphi))$
3.  $\text{tr}((\varphi^{-1} \partial_{r_{i\alpha}} \varphi) (\varphi^{-1} \partial_{r_{i\alpha}} \partial_{p_j} \varphi))$
4.  $\text{tr}(\varphi^{-1} \partial_{r_{i\alpha}}^2 \partial_{p_j} \varphi)$

Recalling  $\partial_{r_{i\alpha}}^2 \varphi_{kl} = \sum_\beta B_{il}^{\alpha\beta} \varphi_{kl}^\beta + \sum_{\beta\beta'} A_{il}^{\alpha\beta} A_{il}^{\alpha\beta'} \varphi_{kl}^{\beta\beta'}$  the first term can be cast in the form [ $N^3$ ]:

$$\begin{aligned} &\sum_{i\alpha} \text{tr}((\varphi^{-1} \partial_{r_{i\alpha}}^2 \varphi) \varphi^{-1} \partial_{p_j} \varphi) = \\ &= \sum_\beta \sum_{kl} \left( \sum_{i\alpha} B_{il}^{\alpha\beta} \right) F_{kl}^\beta G_{lk} + \sum_{\beta\beta'} \sum_{kl} H_{ll'}^{\beta\beta'} J_{kl}^{\beta\beta'} G_{lk} \end{aligned} \quad (\text{A.9})$$

The second term in the form [ $N^3$ ]:

$$\begin{aligned} &\sum_{i\alpha} \text{tr}((\varphi^{-1} \partial_{r_{i\alpha}} \varphi) (\varphi^{-1} \partial_{r_{i\alpha}} \varphi) (\varphi^{-1} \partial_{p_j} \varphi)) = \\ &= \sum_{\beta\beta'} \sum_{ll'} H_{ll'}^{\beta\beta'} \left( \sum_k F_{kl}^\beta G_{lk} \right) F_{ll'}^{\beta'} \end{aligned} \quad (\text{A.10})$$

The third term in the form  $[N^3]$ :

$$\begin{aligned} & \sum_{i\alpha} \text{tr} \left( (\varphi^{-1} \partial_{r_{i\alpha}} \varphi) (\varphi^{-1} \partial_{r_{i\alpha}} \partial_{p_j} \varphi) \right) = \\ & = \sum_{l'l'} \sum_{\beta\beta'} H_{ll'}^{\beta\beta'} F_{l'l}^{\beta'} J_{ll'}^{\beta'\beta''} C_{l'}^{\beta''} + \sum_{kli} \sum_{\alpha\beta\beta'} F_{lk}^{\beta} A_{ik}^{\alpha\beta} D_{il}^{\alpha\beta'} F_{kl}^{\beta'} \end{aligned} \quad (\text{A.11})$$

Finally, recalling that:

$$\begin{aligned} \partial_{r_{i\alpha}}^2 \partial_{p_j} \varphi_{kl} & = \sum_{\beta} E_{il}^{\alpha\beta} \varphi_{kl}^{\beta} + \sum_{\beta\beta'} B_{il}^{\alpha\beta} C_l^{\beta'} \varphi_{kl}^{\beta\beta'} \\ & + \sum_{\beta\beta'\beta''} A_{il}^{\alpha\beta} A_{il}^{\alpha\beta'} C_l^{\beta''} \varphi_{kl}^{\beta\beta'\beta''} + 2 \sum_{\beta\beta'} D_{il}^{\alpha\beta} A_{il}^{\alpha\beta'} \varphi_{kl}^{\beta\beta'} \end{aligned} \quad (\text{A.12})$$

the fourth term can be cast in the form  $[N^2]$ :

$$\begin{aligned} & \sum_{i\alpha} \text{tr} \left( \varphi^{-1} (\partial_{r_{i\alpha}}^2 \partial_{p_j} \varphi) \right) = \sum_{l\beta} \left( \sum_{i\alpha} E_{il}^{\alpha\beta} \right) F_{ll}^{\beta} \\ & + 2 \sum_{l\beta\beta'} \left( \sum_{i\alpha} D_{il}^{\alpha\beta} A_{il}^{\alpha\beta'} \right) J_{ll}^{\beta\beta'} + \sum_{l\beta\beta'} \left( \sum_{i\alpha} B_{il}^{\alpha\beta} \right) C_l^{\beta'} J_{ll}^{\beta\beta'} \\ & + \sum_{l\beta\beta'\beta''} H_{ll}^{\beta\beta'} C_l^{\beta''} \left( \sum_k \varphi_{lk}^{-1} \varphi_{kl}^{\beta\beta'\beta''} \right) \end{aligned} \quad (\text{A.13})$$

The recommendations outlined in the present Appendix are to be respected in order to contain the computational cost of the optimization procedure. Further simplifications in the calculation of the intermediate tensors (A.2) are possible in homogeneous systems, where the backflow orbitals are plane waves  $\varphi_{il} = e^{i\mathbf{k}_i \cdot \mathbf{x}_l}$ .

## Appendix B. Complex-valued WFs

In this appendix we present the generalization of the optimization algorithm to complex-valued WFs. In the case of Slater determinants of plane waves, we observe that, denoting by  $*$  the complex conjugation:

$$\det (e^{i\mathbf{k}_i \cdot \mathbf{x}_l})^* = \det (e^{-i\mathbf{k}_i \cdot \mathbf{x}_l}) \quad (\text{B.1})$$

In the study of the ground state in periodic boundary conditions a set of  $\mathbf{k}$ -points closed under the time-reversal operation  $\mathbf{k} \rightarrow -\mathbf{k}$  ensures the reality of the

wave-function. On the other hand, different choices of boundary conditions or the study of some particular excited states or the presence of an external magnetic field require the formalism of complex-valued WFs. The function  $\mathcal{E}(\mathbf{p})$  to be optimized with respect to parameter variations  $\Delta\mathbf{p}$  takes the form:

$$\mathcal{E}(\mathbf{p}) = \frac{(1 \ \Delta\mathbf{p}^T) \begin{pmatrix} \mathcal{E}(\mathbf{p}_0) & \mathbf{g}^T \\ \mathbf{g}^* & \overline{\mathcal{H}} \end{pmatrix} \begin{pmatrix} 1 \\ \Delta\mathbf{p} \end{pmatrix}}{(1 \ \Delta\mathbf{p}^T) \begin{pmatrix} 1 & \mathbf{s}^T \\ \mathbf{s}^* & \overline{\mathcal{S}} \end{pmatrix} \begin{pmatrix} 1 \\ \Delta\mathbf{p} \end{pmatrix}} \quad (\text{B.2})$$

where  $\mathcal{E}(\mathbf{p}_0)$  is the current value of the energy,

$$g_j = \frac{\langle \overline{\Psi}_0 | \hat{H} | \overline{\Psi}_j \rangle}{\langle \overline{\Psi}_0 | \overline{\Psi}_0 \rangle} \quad (\text{B.3})$$

satisfying:

$$\partial_{p_j} \mathcal{E}(\mathbf{p}_0) = g_j + g_j^*, \quad (\text{B.4})$$

and

$$s_j = \frac{\langle \overline{\Psi}_0 | \overline{\Psi}_j \rangle}{\langle \overline{\Psi}_0 | \overline{\Psi}_0 \rangle} \quad (\text{B.5})$$

The overlap and energy matrix are defined exactly as in the real case:

$$\overline{\mathcal{S}}_{ij} = \frac{\langle \overline{\Psi}_i | \overline{\Psi}_j \rangle}{\langle \overline{\Psi}_0 | \overline{\Psi}_0 \rangle}, \quad \overline{\mathcal{H}}_{ij} = \frac{\langle \overline{\Psi}_i | \hat{H} | \overline{\Psi}_j \rangle}{\langle \overline{\Psi}_0 | \overline{\Psi}_0 \rangle} \quad (\text{B.6})$$

The generalized eigenvalue problem on which the method relies, in the complex case, is:

$$\begin{pmatrix} \mathcal{E}(\mathbf{p}_0) & \mathbf{g}^T \\ \mathbf{g}^* & \overline{\mathcal{H}} \end{pmatrix} \begin{pmatrix} 1 \\ \Delta\mathbf{p} \end{pmatrix} = \mathcal{E} \begin{pmatrix} 1 & \mathbf{s}^T \\ \mathbf{s}^* & \overline{\mathcal{S}} \end{pmatrix} \begin{pmatrix} 1 \\ \Delta\mathbf{p} \end{pmatrix} \quad (\text{B.7})$$

Except for the care to take the complex conjugation whenever necessary, the most noticeable difference with respect to the real case is the presence of the vector  $\mathbf{s}$ , which has to be estimated. In the complex case, in fact, the normalization constraint  $0 = \partial_{p_j} \langle \tilde{\Psi}(\mathbf{p}) | \tilde{\Psi}(\mathbf{p}) \rangle$  implies that the overlap between  $|\overline{\Psi}_0\rangle$  and  $|\overline{\Psi}_i\rangle$  is a non-vanishing purely imaginary number. The steps of the linear method, then, proceed exactly as in the real case.



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